

## **HathiTrust Constitutional Convention agenda, DRAFT**

### **HathiTrust Constitutional Convention**

**October 8-10, 2011**

**Mayflower Renaissance Hotel, Washington, DC**

#### **Day 1, October 8, 2011**

**1:00-1:15      Opening and welcome**

**1:15-2:30      Session 1: Overview of where HathiTrust is and Upcoming Work**

John Wilkin, Executive Director, HathiTrust

Review HathiTrust accomplishments (e.g., relative to the Short- and Long-term Functional Objectives), status of key technologies, and challenges facing the partnership. Will include: status report on holdings infrastructure to be used for new cost model and what we know as of the Constitutional Convention; emergent possibilities for lawful uses of in-copyright materials; important emergent trends (e.g., publisher relationships and publishing work). This session will also provide an overview of the Constitutional Convention agenda, ground rules for the Convention, and what is expected from participants.

**2:30-4:00      Session 2: Report on 3-year review and Q&A**

Laura Brown and Matt Loy, Ithaka S+R, along with members of the Strategic Advisory Board

At the request of member institutions of HathiTrust, a review of HathiTrust was performed in the third year of HathiTrust's operation. The review was prepared by Ithaka S+R in consultation with the Strategic Advisory Board. Laura Brown and Matt Loy, along with members of the Strategic Advisory Board, will give a brief presentation summarizing the report and will field questions from attendees.

**4:00-4:30      break**

**4:30-5:00      Overview of facilitated sessions, announcements and general business**

Abby Smith Rumsey, facilitator

Each of the following facilitated sessions will consist of discussion around specific ballot initiatives, opportunities for amendment, and likely a culminating vote. Each will be facilitated using a formal structure for discussion and amendment in light of the probable ensuing vote.

**6:00-8:00      Reception**

## **Day 2, October 9, 2011**

**7:00-8:30**      **Continental breakfast**

**9:00-10:30**    **Session 3: Governance, facilitated session**

Abby Smith Rumsey, facilitator

This session will consider proposals regarding governance, including recommended adjustments to the current governance structure. The current governance structure relies on a small Executive Committee responsible for financial and operational oversight, a larger and more representative Strategic Advisory Board responsible for recommending future directions, and operational and strategic working groups devoted to focused activities charged by the Executive Committee and Strategic Advisory Board. This session will also consider proposals that address the term of HathiTrust operations, currently set at five year intervals, each including a three-year review.

**10:30-11:00**   **Session 3a: Calibration**

Abby Smith Rumsey, facilitator

Calibration sessions for Sessions 3, 5, and 6 will be open sessions to review, organize, and summarize discussions from the Sessions, and times for general questions and discussion.

**11:00-12:30**   **Session 4: Administration, facilitated session**

Abby Smith Rumsey, facilitator

This session will consider the legal and fiscal (administrative) home for HathiTrust and associated questions about leadership. Because of continuing legal questions related to copyright and liability more generally, the HathiTrust repository is administratively hosted at the University of Michigan. At what intervals should we reconsider the administrative home of HathiTrust, and what should be the process for review of that administrative home? The current executive director is closely associated with administration of the repository and with the fiscal and legal functions at the University of Michigan. Proposals for different models (or continuing this model) will be considered.

**12:30-1:00**    **Session 4a: Calibration**

Abby Smith Rumsey, facilitator

**1:00-2:00**      **lunch**

**2:00-4:00**      **Session 5: Finances, facilitated session**

Abby Smith Rumsey, facilitator

This session on finances will include consideration of a proposal to incorporate support for coordinating print retention of monographs by partners as part of the HathiTrust funding structure. Although the fundamental design of the new HathiTrust cost model (based on print overlap) will not be adjusted through the Constitutional Convention, this session will also consider other financially-oriented ballot initiatives.

**4:00-4:30      Session 5a: Calibration**  
Abby Smith Rumsey, facilitator

**4:30-5:30      Session 6: Other ballot initiatives, facilitated session**  
Abby Smith Rumsey, facilitator

To be determined.

**Participants to have dinner on their own; Executive Committee will meet over dinner**

**Day 3, October 10, 2011**

**7:00-8:30      Continental breakfast**

**9:00-10:30    Session 7: Closing session**  
HathiTrust Executive Committee

The HathiTrust Executive Committee will summarize conclusions and will be invited to make comments on future directions HathiTrust will likely take in light of the preceding sessions. The Executive Committee will discuss conclusions, next steps and future processes.