HathiTrust Shared Print Program:
Launching Phase 2

June 2018

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HathiTrust Shared Print Phase 2 Orientation

1. Welcome to Phase 2 participants

2. Overview of the Shared Print Program and Phase 1

3. Plans for Phase 2
   ◦ Process
   ◦ Timetable
   ◦ Our use of GreenGlass is different

4. What happens next?

5. Q&A
Welcome to Phase 2 Participants

• 57 HathiTrust member libraries have offered to participate as Retention Libraries in Phase 2

• 27 are new to the program (were not in Phase 1)

• 8 are new to HathiTrust (joined in 2017 or 2018)

• Total 75 member libraries are participating in Phase 1 or Phase 2 or both

As of June 15, 2018
Phase 2 Retention Libraries

(as of June 15, 2018)

• = new Retention Library in Phase 2

Allegheny College *
Arizona State University
Boston University *
Brigham Young University *
Carleton College *
Colby College
Columbia University
CUNY Graduate Center *
Dartmouth College *
Dickinson College *
Duke University
Emory University
Getty Research Institute
Harvard University
Indiana University
Iowa State University
Johns Hopkins University
Macalester College *
Massachusetts Institute of Technology
McGill University
Northwestern University
New York University *
Ohio State University
Oklahoma State University *
Pennsylvania State University *
Princeton University
Southern Methodist University *
Temple University *
Tufts University
Texas State University *
Union College *
University of Alberta
University of Arizona *
University of California, Merced
University of Colorado
University of Florida
University of Georgia *
University of Houston *
University of Illinois, Urbana Champaign
University of Iowa
University of Maryland *
University of Minnesota
University of New Mexico *
University of North Carolina, Chapel Hill *
University of Notre Dame
University of Oklahoma *
University of Pennsylvania
University of Pittsburgh *
University of Queensland
University of Tennessee, Knoxville *
University of Texas, Austin
University of Virginia
University of Wisconsin-Madison
University of Wyoming *
Wake Forest University *
West Virginia University *
Yale University
Key Attributes of the HathiTrust Shared Print Program

• Secure retention commitments for print holdings that mirror monograph titles in the HathiTrust digital collection

• Maintain a lendable print collection distributed among HathiTrust member collections

• Reflect support by and provide benefits to all HathiTrust members (not a subset). There are no fees to participate. Costs to administer the program are covered in the HathiTrust budget and included in member fees.

• Build on existing shared print and resource-sharing arrangements, avoid disturbing members’ other affiliations
HathiTrust Shared Print Phase 1
Summer 2016 – December 2017 (18 months)

- 49 libraries
- 4.8 million monograph titles
- 16 million monograph volumes
- Monographs matching HathiTrust
- 25-year retention commitment
- No validation required
- Lend with local ILL
Major Policies

Scope: Monographs from circulating general collections that correspond to items in the HathiTrust Digital Library

Retention Period: Fixed date 12/31/2042 (25 years from 2017), also applies to future commitments

Environment: Storage facility preferred, campus shelving acceptable

Validation: Verification of holdings and condition encouraged but not required (desirable but not feasible at scale, consider future sampling)

Disclosure: Record retention commitments in HathiTrust registry and local system; disclosure in OCLC WorldCat not currently required

Access: Loan retained volumes to other HathiTrust members; may use local ILL policies including fees (free lending desirable but difficult to implement)
Phase 1 Lightweight Process to Identify Retention Commitments

No efforts to predefine desired number of copies nor to prevent duplication in Phase 1
Redundancy across HathiTrust Shared Print Commitments

No efforts to predefine desired number of copies nor to prevent duplication in Phase 1

Total 4.8 million titles/OCNs committed by any of 49 Retention Libraries

- 31% committed by only 1 Retention Library
- 50% committed by 2 – 5 Retention Libraries
- 18% committed by 6 – 10 Retention Libraries
- 1% committed 11 -18 Retention Libraries
On to Phase 2!
Plans for Shared Print Phase 2

• Secure retention commitments for remaining print holdings that mirror book titles in the HathiTrust digital collection that did NOT receive a retention commitment in Phase 1 (est 2.6 million titles)

• Continue to use existing print holdings data already provided to HathiTrust (no new data from member libraries)

• Conduct a more thoughtful analysis using OCLC Sustainable Collection Services (SCS) GreenGlass service

• Prioritize retention proposals based on criteria such as
  • overlap within and outside of HathiTrust
  • existing retention commitments by other libraries
  • desired number of copies
Phase 2 Process
to Identify Retention Commitments

HathiTrust data to SCS

SCS builds GreenGlass database

WG refines retention models

Iterative process

SCS produces retention proposals

Libraries review & return commitments

Retention Proposals File

Shared Print Registry

Retention Commitment File
Retention Models

Retention Models are sets of criteria used to identify proposed retention commitments across the group. Multiple retention models may be used simultaneously.

Examples:

1. Retain 1 copy if there is only 1 copy in HathiTrust (AND the 1 copy is in a Phase 2 Retention Library)

2. Retain 1 copy if there are > 50 copies in HathiTrust AND this title has existing retention commitments at other non-HT libraries

3. Retain 2 copies if there are < 25 copies in HathiTrust and < 100 holders in WorldCat

Note: some of the EAST libraries are committing only their matching EAST commitments, outside of the retention models
HathiTrust Shared Print Program
Phase 2 Timetable

<table>
<thead>
<tr>
<th>Step</th>
<th>Est Completion</th>
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<tbody>
<tr>
<td>HathiTrust provides metadata to OCLC/SCS</td>
<td>Late June</td>
</tr>
<tr>
<td>SCS builds GreenGlass database</td>
<td>Mid-July - End of August (SCS estimates 6 weeks)</td>
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<tr>
<td>HT Working Group conducts overlap analysis &amp; retention modeling (w/feedback from libraries)</td>
<td>September – October</td>
</tr>
<tr>
<td>HT/SCS propose retention commitments</td>
<td>November</td>
</tr>
<tr>
<td>Libraries confirm retention commitments</td>
<td>November - January</td>
</tr>
<tr>
<td>New Retention Libraries execute Shared Print Agreement</td>
<td>November – January …</td>
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Our Use of OCLC/SCS GreenGlass Is Different

- HathiTrust will provide data to SCS (no data from the member libraries). SCS will gather the associated bibliographic records from WorldCat.

- Data will include ONLY holdings corresponding to the HathiTrust digital monographs that did NOT receive a retention commitment in Phase 1. This will be a relatively small subset of member library collections. **This is not a full collection analysis of member library collections.**

- There will be no item-level data (e.g. shelving location) nor circulation history data. Therefore, no ability to select retained items based on amount of circulation.

- Only a small project team will have direct access to GreenGlass. **Individual libraries will not be able to analyze their collections through this GreenGlass database** (and it only includes a small part of their collections).

- HathiTrust is paying a much lower fee because of the much-reduced functionality.
What happens next?
Near-term steps for library participation in Phase 2

• Volunteer for Retention Models Working Group (if interested): by June 22

• Provide feedback on proposed Retention Models (webinar): September/October

• Review file of proposed retention commitments: beginning in November
Exploring Services using HathiTrust Shared Print Metadata

Capabilities being developed by HathiTrust

- Disclose retentions in OCLC WorldCat
- Build on Shared Print metadata
- Seek replacements for lost/damaged retention volumes
- Compare library holdings to HT retentions
Phase 3: Some Possibilities for 2019 and Beyond

- Synchronize SP commitments with new digital content
- Digitize existing SP commitments from other member SP programs

Consider retention for journals, Federal Documents

Collaborate on retention priorities, number of copies
Questions and Thank you!

https://www.hathitrust.org/shared_print_program