

HathiTrust Shared Print Program Phase 2: Reviewing Proposed Retentions

November 30, 2018

Lizanne Payne

Shared Print Program Officer

elpayne@hathitrust.org

https://www.hathitrust.org/shared_print_program



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November 30, 2018

The webinar will be recorded

During the webinar, please

- Turn off your video (webcam)
- Mute your audio
- Use Chat for questions



HathiTrust Shared Print Phase 2 Reviewing Proposed Retentions

1. Introducing new Shared Print Program Officer Heather Weltin
2. Overview of HathiTrust Shared Print and Phase 2
3. Timetable
4. Retention model (criteria for retention)
5. Confirming proposed retentions
6. Executing the MOU
7. Q & A



Introducing new HathiTrust Shared Print Program Officer Heather Weltin



- Heather is currently Director of Collection Management & Resource Sharing at the University of Wisconsin-Madison
- She serves on the HathiTrust Shared Print Advisory Committee and is active in other shared print activities nationwide
- Heather joins HathiTrust on January 7, 2019



Overview of the HathiTrust Shared Print Program

- Secure retention commitments for print holdings that mirror monograph titles in the HathiTrust digital collection
- Maintain a lendable print collection distributed among HathiTrust member collections
- Reflect support by and provide benefits to all HathiTrust members (not a subset). There are no fees to participate. Costs to administer the program are covered in the HathiTrust budget and included in member fees.
- Build on existing shared print and resource-sharing arrangements, avoid disturbing members' other affiliations



Plans for HathiTrust Shared Print Phase 2

- Secure retention commitments for remaining monographic print holdings that mirror book titles in HathiTrust that did NOT receive a retention commitment in Phase 1
- Use existing print holdings data already provided to HathiTrust (no new data from member libraries)
- Conduct a more thoughtful analysis using OCLC Sustainable Collection Services (SCS) GreenGlass service
- About 60 HathiTrust libraries offered to participate as Retention Libraries in Phase 2

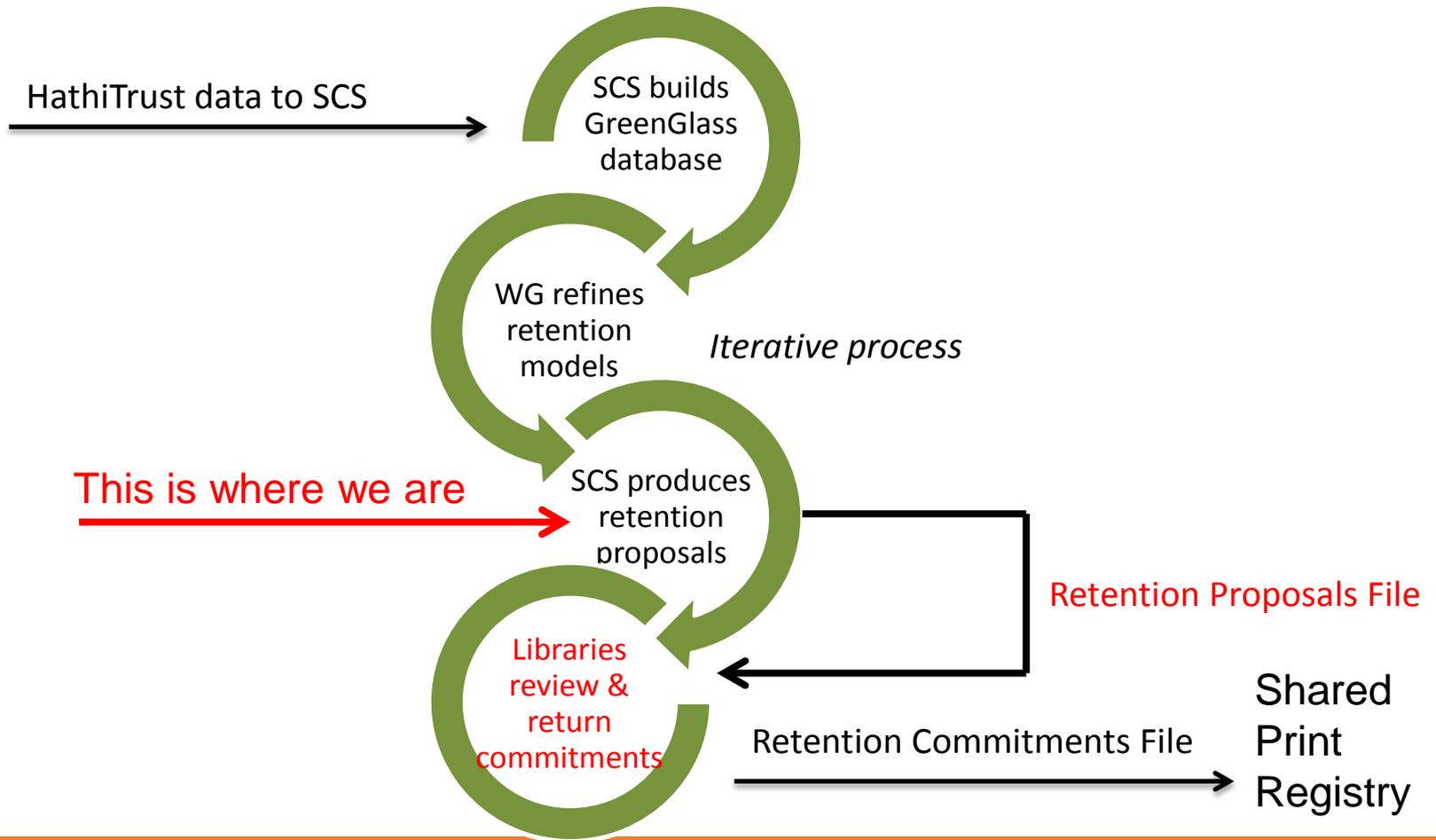


Our Use of OCLC/SCS GreenGlass Is Different

- HathiTrust provided data to SCS using print holdings data from the libraries. SCS gathered the associated bibliographic records from WorldCat.
- Data included ONLY holdings corresponding to the HathiTrust digital monographs that did NOT receive a retention commitment in Phase 1. This is a relatively small subset of member library collections. **This is not a full collection analysis of member library collections.**
- There is no item-level data (e.g. shelving location) nor circulation history data. Therefore, no ability to select retained items based on location or amount of circulation.



Phase 2 Process to Identify Retention Commitments



Retention Models

The Shared Print Advisory Committee and Retention Models Working Group made two recommendations:

1. Retain up to 5 copies of each candidate title

Actual number depends on how many holdings there are across the Retention Libraries (if there are 3 holdings, GreenGlass proposes retaining all 3; if there are 11 holdings, GreenGlass only proposes 5)

2. Distribute proposed retentions across U.S. Census regions where possible (Northeast, Midwest, South, and West)

Each Retention Library was associated with its corresponding region; Canadian Retention Libraries were associated with the nearest region



Summary Phase 2 Retention Proposal Statistics

Description	Total
Distinct titles (OCNs)*	1,262,535
Holdings	2,853,013

* Monograph titles (OCNs) held by any of the Phase 2 Retention Libraries where the title is in HathiTrust and did NOT receive a retention commitment in Phase 1



Retention Proposals (Titles) with Multiple Holdings

Retention Library Holdings	Titles (OCNs)	% of Distinct titles
1 (all retained)	615,824	49%
2 (all retained)	225,788	18%
3 (all retained)	122,550	10%
4 (all retained)	73,902	6%
5+ (5 retained)	224,471	18%
All distinct titles	1,262,535	100%



Retention Proposals (Holdings) by Region

Region	Proposed holdings	% of proposed holdings by region
Northeast - Region 1	1,005,070	35%
Midwest - Region 2	745,496	26%
South - Region 3	806,071	28%
West - Region 4	296,376	10%
TOTAL ALL REGIONS	2,853,013	100%



Any questions so far?



HathiTrust Shared Print Program Remaining Phase 2 Timetable

Step	Est Completion
HathiTrust and SCS propose retention commitments to libraries	Early December (week of December 3)
Libraries confirm retention commitments	By February 28, 2019
New Retention Libraries execute Shared Print Agreement (MOU)	By February 28, 2019



Confirming Proposed Commitments (December – February)

HathiTrust will send detailed instructions to the Shared Print contact person.

- Download Proposed Retentions File from your library's HathiTrust Box folder (same as used to submit print holdings to HathiTrust annually)
- If your institution uses multiple OCLC symbols, remove records for holdings in a non-participating location (OCLC symbol) (if any)
- Remove non-circulating holdings by looking up records in your local system
- If necessary, review and remove other records (try to avoid this step)
- Return Committed Retentions File to HathiTrust



A Special Note about Reviewing Proposed Commitments

Retention Libraries are NOT required and are NOT expected to confirm the physical presence nor the condition of the print volumes that correspond to these metadata records.

- HathiTrust recognizes that in some cases volumes will be found to be lost or misidentified in the metadata. HathiTrust has a process for libraries to report these errors in the future, if they are encountered.
- It is not necessary to verify the holdings now.

HathiTrust does not recommend reviewing each individual title in the file, one by one. Review by categories or characteristics where possible.



Executing the MOU (December – February)

- Retention Libraries new in Phase 2 must execute the HathiTrust Shared Print Agreement
- Phase 2 Retention Libraries that also participated in Phase 1 have already executed the MOU and do not need to do so again
- HathiTrust will send the MOU to Retention Library contacts
- Retention Libraries will insert the institution name, secure signature of appropriate signer on campus, and return to HathiTrust
- HathiTrust will secure signature of the appropriate University of Michigan administrator and will return the countersigned copy to the Retention Library



Please No Changes to the MOU

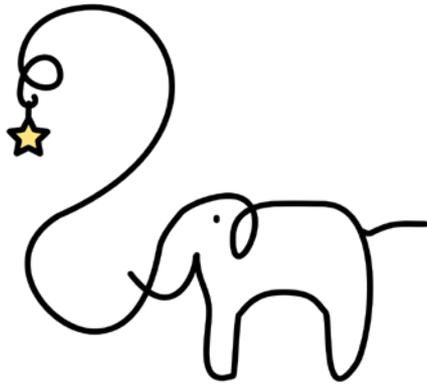
“To maintain consistency across the Agreements with all Retention Libraries, we ask that no additions, modifications, or addenda be made to the Agreement. “

HathiTrust intentionally designed the Agreement to avoid commonly-disputed clauses, e.g. state of jurisdiction.

All 49 libraries in Phase 1 signed the same version of the Agreement, and we ask the 25+ new Retention Libraries to do the same.



Questions and Thank you!



https://www.hathitrust.org/shared_print_program

Up to January 7: elpayne@hathitrust.org

After January 7: weltin@umich.edu

