HathiTrust Shared Print Program Update

Heather Weltin, HathiTrust Shared Print Program Officer
Tuesday, July 16, 2019
Interacting During the Webinar

- Use chat window to ask questions
- Slides and recording will be shared afterwards
Today’s Plan

1. Quick HathiTrust Shared Print Program Overview
2. Phase 2 Recap and Results
3. Shared Print Program Today
4. Future
5. Questions
HathiTrust Shared Print Program
Goal of HathiTrust Shared Print Program

The primary goals of the HathiTrust Shared Print Program are to ensure preservation of print and digital collections by linking the two, to reduce overall costs of collection management for HathiTrust members, and to catalyze national/continental collective management of collections.

Key Attributes:

- Secure retention commitments for print holdings that mirror book titles in the HathiTrust digital collection
- Maintain a lendable print collection distributed among HathiTrust member collections
- Reflect support by and provide benefits to all HathiTrust members
- Build on existing shared print and resource-sharing arrangements and avoid disturbing members’ other affiliations
HathiTrust Shared Print Phase 2 Recap and Results
Hopes for Phase 2

Phase 1
4.8 million individual book titles
65%

Phase 2
around 2.2 million individual book titles
20%

Future
15%
Process For Phase 2 vs. Phase 1

Phase 1

- Focus on quick launch through member volunteers

Phase 2

- Thoughtful collection analysis using OCLC Sustainable Collection Services (SCS) GreenGlass service.
Phase 2 Process to Identify Retention Commitments

HathiTrust sends data to SCS

SCS builds GreenGlass database

WG refines retention models

SCS produces retention proposals

Libraries review & return commitments

Retention Commitments File

Retention Proposals File

Shared Print Registry
Starting in June 2018 the Working Group was developed to define criteria to prioritize retention proposals for Phase 2 using GreenGlass. The working group consisted of members of the Shared Print Advisory Committee* plus 6 additional members.

Matthew Sheehy, Brandeis University*
Tom Teper, University of Illinois, Urbana-Champaign*
Dan Heuer, Bucknell University*
Matthew Revitt, Maine Shared Collections and EAST*
Steve Smith, Boston University
Mary Miller, University of Minnesota
Ivy Anderson, California Digital Library*
Nicole Sump-Crethar, Oklahoma State University
Ken Peterson, Dartmouth College*
Ginger Williams, Texas State University
Jeff Kosokoff, Duke University
Heather Weltin, University of Wisconsin*
Ben Walker, University of Florida*
Daniel Dollar, Yale University*
Marilyn Myers, University of Houston
RetentionPolicy Working Group Decisions

The Working Group Proposed to use the retention model of "Retain up to 5 (same edition)" and work with SCS to aim for geographic distribution of proposed retentions based on the Census regions.

<table>
<thead>
<tr>
<th>Description</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Distinct titles (OCNs)*</td>
<td>1,262,535</td>
</tr>
<tr>
<td>Holdings</td>
<td>2,853,013</td>
</tr>
</tbody>
</table>

* Monograph titles (OCNs) held by any of the Phase 2 Retention Libraries where the title is in HathiTrust and did NOT receive a retention commitment in Phase 1
HathiTrust sends data to SCS

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Libraries review & return commitments

Retention Proposals File

Retention Commitments File

Shared Print Registry
Phase 2 Retention Libraries

- Allegheny College*
- Arizona State University
- Boston University*
- Brigham Young University*
- Carleton College*
- Colby College
- Dartmouth College*
- Dickinson College*
- Duke University
- Emory University
- Getty Research Institute
- Indiana University
- Iowa State University
- Johns Hopkins University
- Macalester College*
- Massachusetts Institute of Technology
- McGill University
- New York University*
- North Carolina State University*
- Northwestern University
- Ohio State University
- Oklahoma State University*
- Pennsylvania State University*
- Southern Methodist University*
- Temple University*
- Texas State University*
- Tufts University
- Union College*
- University of Alberta
- University of Arizona*
- University of British Columbia*
- University of Calgary
- University of California, Merced
- University of Delaware
- University of Florida
- University of Georgia*
- University of Houston*
- University of Iowa
- University of Maryland* University of Minnesota
- University of Notre Dame
- University of Oklahoma*
- University of Pennsylvania
- University of Pittsburgh*
- University of Queensland
- University of Rochester*
- University of Tennessee, Knoxville*
- University of Texas, Austin
- University of Toronto
- University of Virginia
- University of Wisconsin-Madison
- University of Wyoming*
- Wake Forest University*
- West Virginia University*
- Yale University

(* = New Retention Library in Phase 2)
Phase 2 versus Phase 1

- Individual Titles: 4,800,000
- Committed Monographs:
  - Phase 1: 16,000,000
  - Phase 2: 1,600,000
Overlap of HathiTrust Digital Library Monographs

Phase 1
Secured 65%

Phase 2 added
about 11%

24% Remain
Phase 2 U.S. Census Region Distribution

186,067 retention commitments
(Univ. of Alberta, British Columbia, and Calgary are included)

388,104 retention commitments

374,916 retention commitments

462,025 retention commitments

Phase 2 Number of Libraries Committed to Retain a Title

<table>
<thead>
<tr>
<th>Number of Libraries</th>
<th>Number of Titles</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>469,630</td>
</tr>
<tr>
<td>2</td>
<td>170,178</td>
</tr>
<tr>
<td>3</td>
<td>107,189</td>
</tr>
<tr>
<td>4</td>
<td>62,570</td>
</tr>
<tr>
<td>5</td>
<td>21,313</td>
</tr>
<tr>
<td>6 to 8</td>
<td>2,946</td>
</tr>
</tbody>
</table>
Phase 2 Overlap with Other Shared Print Programs

Keep@Downsview
84.5%

EAST
10.9%

FLARE
4.7%
HathiTrust Shared Print Program Overall
# Shared Print Program Participants

(NEW TO PHASE 2 INDICATED WITH *)

<table>
<thead>
<tr>
<th>Allegheny College*</th>
<th>Northwestern University</th>
<th>University of Georgia*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arizona State University</td>
<td>Ohio State University</td>
<td>University of Houston*</td>
</tr>
<tr>
<td>Boston University*</td>
<td>Oklahoma State University*</td>
<td>University of Illinois, Urbana-Champaign</td>
</tr>
<tr>
<td>Brandeis University</td>
<td>Pennsylvania State University*</td>
<td>University of Iowa</td>
</tr>
<tr>
<td>Brigham Young University*</td>
<td>Southern Methodist University*</td>
<td>University of Maryland*</td>
</tr>
<tr>
<td>Brown University</td>
<td>Swarthmore College</td>
<td>University of Michigan</td>
</tr>
<tr>
<td>Bryn Mawr College</td>
<td>Syracuse University</td>
<td>University of Minnesota</td>
</tr>
<tr>
<td>Carleton College*</td>
<td>Temple University*</td>
<td>University of Missouri</td>
</tr>
<tr>
<td>Claremont Colleges</td>
<td>Texas State University</td>
<td>University of Notre Dame</td>
</tr>
<tr>
<td>Colby College</td>
<td>Tufts University</td>
<td>University of Oklahoma*</td>
</tr>
<tr>
<td>Dartmouth College*</td>
<td>Union College*</td>
<td>University of Pennsylvania</td>
</tr>
<tr>
<td>Dickinson College*</td>
<td>University of Alberta</td>
<td>University of Pittsburgh</td>
</tr>
<tr>
<td>Duke University</td>
<td>University of Arizona*</td>
<td>University of Queensland</td>
</tr>
<tr>
<td>Emory University</td>
<td>University of British Columbia*</td>
<td>University of Rochester*</td>
</tr>
<tr>
<td>Georgia Tech University</td>
<td>University of Calgary</td>
<td>University of Tennessee, Knoxville*</td>
</tr>
<tr>
<td>Getty Research Institute</td>
<td>University of California, Merced*</td>
<td>University of Texas at Austin</td>
</tr>
<tr>
<td>Indiana University</td>
<td>University of California, San Diego*</td>
<td>University of Toronto</td>
</tr>
<tr>
<td>Iowa State University</td>
<td>University of California, Santa Cruz</td>
<td>University of Virginia</td>
</tr>
<tr>
<td>Johns Hopkins University</td>
<td>University of California Northern Regional</td>
<td>University of Washington</td>
</tr>
<tr>
<td>Lafayette College</td>
<td>Library Facility (NRLF)</td>
<td>University of Wisconsin-Madison</td>
</tr>
<tr>
<td>Macalester College*</td>
<td>University of California Southern Regional</td>
<td>University of Wyoming*</td>
</tr>
<tr>
<td>Massachusetts Institute of Technology</td>
<td>Library Facility (SRLF)</td>
<td>Wake Forest University*</td>
</tr>
<tr>
<td>McGill University</td>
<td>University of Chicago</td>
<td>Washington University, St. Louis</td>
</tr>
<tr>
<td>New York University*</td>
<td>University of Delaware</td>
<td>West Virginia University*</td>
</tr>
<tr>
<td>North Carolina State University*</td>
<td>University of Florida</td>
<td>Yale University</td>
</tr>
</tbody>
</table>
Quick Statistics

- 17,889,303 single-part monographs
- 5,476,598 individual titles
- Phase 1:
  - 16 million monographs
  - 4.8 million individual titles
- Phase 2:
  - 1.5 million monographs
  - Around 750,000 individual titles
Phase 2 U.S. Census Region Distribution


- Northeast: 6,388,143 retention commitments
- Midwest: 7,492,200 retention commitments
- South: 3,352,900 retention commitments
- West: 1,251,539 retention commitments
Overlap with Other Shared Print Programs

- Research Collection: 64.6%
- COPPUL: 0.8%
- Eastern Academic: 10.4%
- Florida Academic: 3.7%
- Keep@Downsview: 17.5%
- Federal Depository: 3.0%
- University of California: 0.0%
- UCSP: 53
- FDLP: 110,894
- ReCAP: 2,351,835
- EAST: 378,704
- COPPUL: 29,078

Total: 2,631,100

Keep@Downsview: 636619
## Committed to Retain Characteristics

<table>
<thead>
<tr>
<th>Number of Libraries</th>
<th>Number of Titles</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1,927,233</td>
</tr>
<tr>
<td>2</td>
<td>993,620</td>
</tr>
<tr>
<td>3</td>
<td>748,224</td>
</tr>
<tr>
<td>4</td>
<td>596,658</td>
</tr>
<tr>
<td>5</td>
<td>456,219</td>
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<tr>
<td>6</td>
<td>331,663</td>
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<tr>
<td>7</td>
<td>233,660</td>
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<tr>
<td>8</td>
<td>157,068</td>
</tr>
<tr>
<td>9</td>
<td>98,051</td>
</tr>
<tr>
<td>10 to 18</td>
<td>93,921</td>
</tr>
</tbody>
</table>

### Diagram

- **% of all Titles Committed to Retain**
  - 1: 34.19%
  - 2: 17.63%
  - 3: 13.28%
  - 4: 10.59%
  - 5: 8.09%
  - 6: 5.88%
  - 7: 4.15%
  - 8: 2.79%
  - 9: 1.74%
  - 10 to 18: 1.67%
HathiTrust Shared Print Program Future
HathiTrust Shared Print Program - Future

2. Ability to Update Shared Print Program Registry
   - Retention Information
3. Expanding Commitments
4. Other Potential Projects and Ideas
5. Disclosure of Commitments
Resources for Retention Libraries

New page for Retention Libraries at https://www.hathitrust.org/resources-retention-libraries

Includes Resources For:

- MOU, Policies, Modifications, Governance, and Funding
- Participating Libraries
- Process for Identifying Commitments
- Shared Print Program Updates, Presentations, Webcasts, and Statistics
- Considering Participation
- Frequently Asked Questions
- Additional Resources for Shared Print
Ability to Update Shared Print Program
Registry Retention Information

The following categories can be updated in the HathiTrust Shared Print Registry:

- Status changes: Identify volumes that are lost, damaged, or committed in error
- Data updates: Provide new or updated information for optional data fields

We will also be notifying libraries with deprecated titles as part of this process.
Expanding Commitments

- Transfer commitments to alternative retention libraries when an item has been identified as lost, missing, or damaged
- Identify over and under committed titles
- Secure commitments for rare or unique titles
- Investigate ways to extend print commitments to additional formats
- Discuss partnering with other Shared Print Programs
Other Potential Projects

- Variety of services using shared print data
- Capability to search the shared print registry
- Discovery of shared print commitments in the HathiTrust Digital Library
- Investigate ways to incorporate resource sharing into program
Identifying HathiTrust Shared Print Commitments

Retention Libraries should record information about their commitments in the records for those holdings in the library’s local system, to attempt to prevent deselection of these volumes that the library committed to retain. The Disclosure policy and associated Disclosure Guidelines provide details of the metadata that the library should record (see Operating Policies and Guidelines).

OCLC is developing a service called “shared print registration” that is intended to support simple recording of shared print commitments in WorldCat.

We recommend that HathiTrust Retention Libraries continue to hold off registering their HathiTrust commitments in WorldCat until future developments have been included. We are continuing to monitor development of this new OCLC service, and will report back to you with some additional documentation when we believe it is better able to support your use.
Questions

- Feel free to contact me at anytime at weltin@hathitrust.org

- Keep updated by checking out our website at https://www.hathitrust.org/shared_print_program