

# HathiTrust Shared Print Update: On to Phase 2!

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[https://www.hathitrust.org/shared\\_print](https://www.hathitrust.org/shared_print)



# HathiTrust Shared Print Update February 2018

## AGENDA

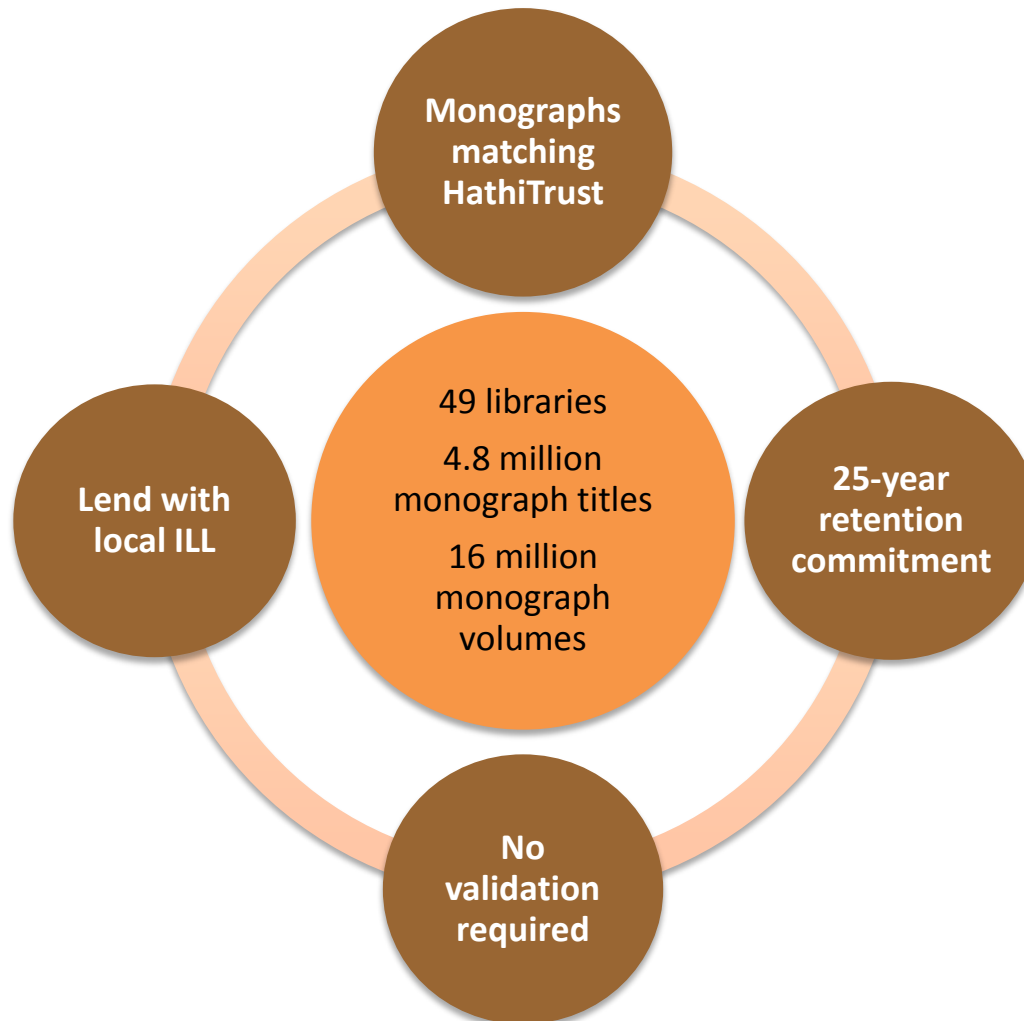
1. Summary and status of HathiTrust Shared Print Program Phase 1
2. Plans for Phase 2:
  - a. Build on shared print metadata
  - b. Identify next round of retention commitments



# HathiTrust Shared Print Phase 1

Summer 2016 – December 2017 (18 months)

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# HathiTrust Shared Print Retention Libraries

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Arizona State University  
Brandeis University  
Brown University  
Bryn Mawr College  
Claremont Colleges  
Colby College  
Columbia University  
Duke University  
Emory University  
Georgia Tech University  
Getty Research Institute  
Harvard University  
Indiana University  
Iowa State University  
Johns Hopkins University  
Lafayette College  
Massachusetts Institute of Technology  
McGill University

New York Public Library  
Northwestern University  
Ohio State University  
Princeton University  
Swarthmore College  
Syracuse University  
Tufts University  
University of Alberta  
University of Calgary  
University of California, Merced  
University of California, San Diego  
University of California, Santa Cruz  
University of California Northern  
Regional Library Facility (NRLF)  
University of California Southern  
Regional Library Facility (SRLF)  
University of Chicago  
University of Delaware

University of Florida  
University of Illinois, Urbana-Champaign  
University of Iowa  
University of Michigan  
University of Minnesota  
University of Missouri  
University of Notre Dame  
University of Pennsylvania  
University of Queensland  
University of Texas at Austin  
University of Virginia  
University of Washington  
University of Wisconsin-Madison  
Washington University in St. Louis  
Yale University

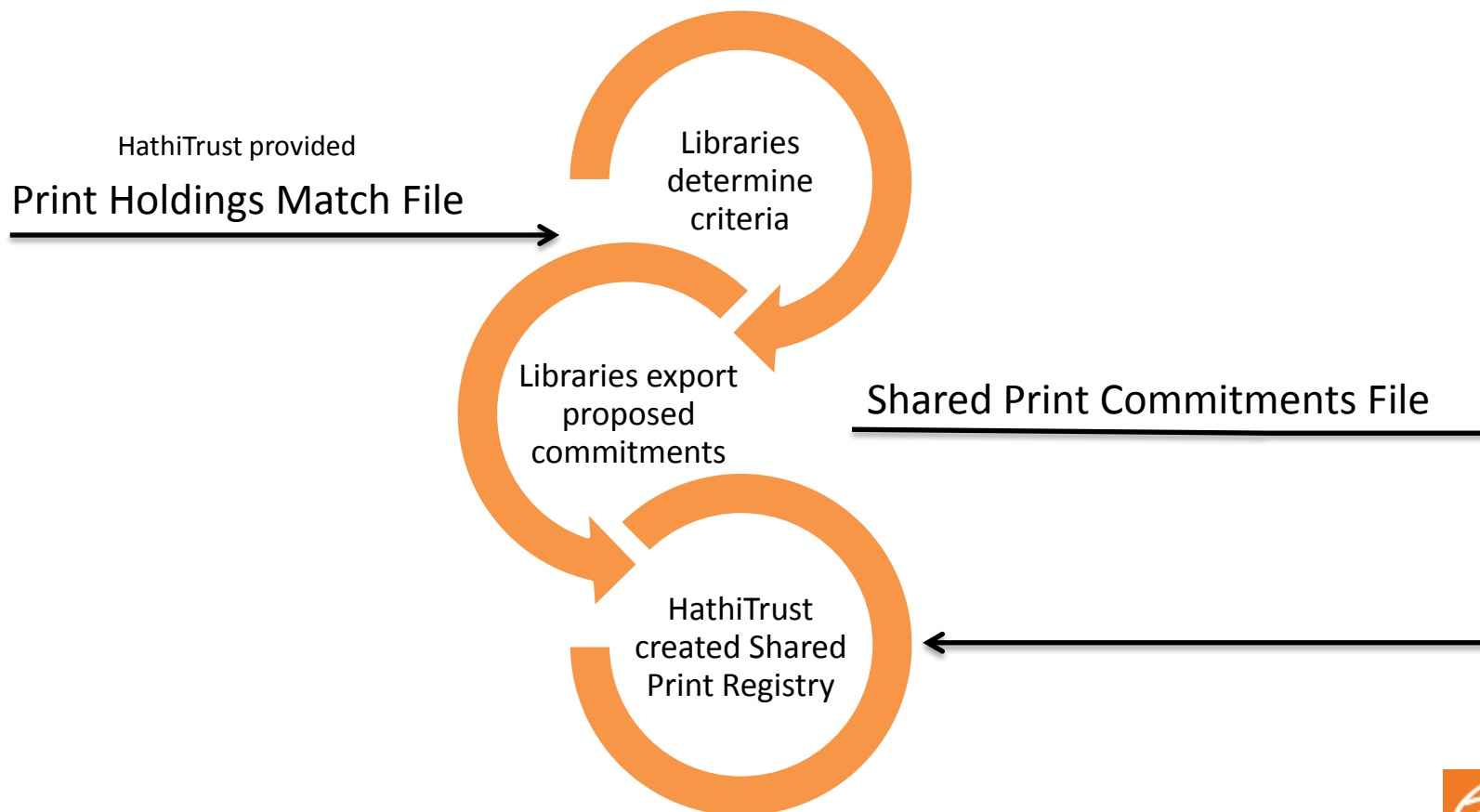
## **49 libraries!**

- **45 in United States**
- **3 in Canada**
- **1 in Australia**



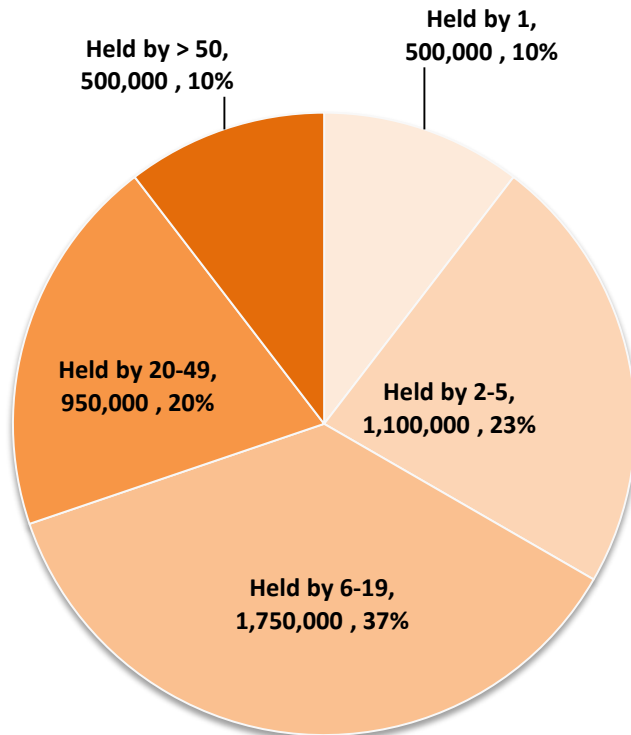
# Lightweight Process to Identify Retention Commitments

*No efforts to predefine desired number of copies nor to prevent duplication*

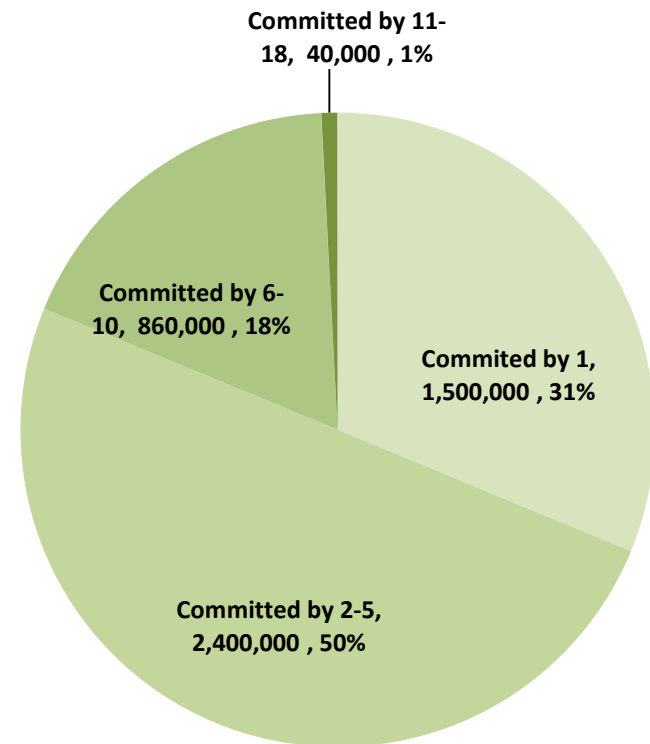


# Holdings Overlap and Duplication among HathiTrust Retention Commitments

*No efforts to predefine desired number of copies nor to prevent duplication*



Holdings overlap among HT libraries  
(for committed titles)



Duplication within HT retention commitments

*4.8 million committed monograph titles (OCNs)*





Many thanks to  
Phase 1 Retention Libraries!



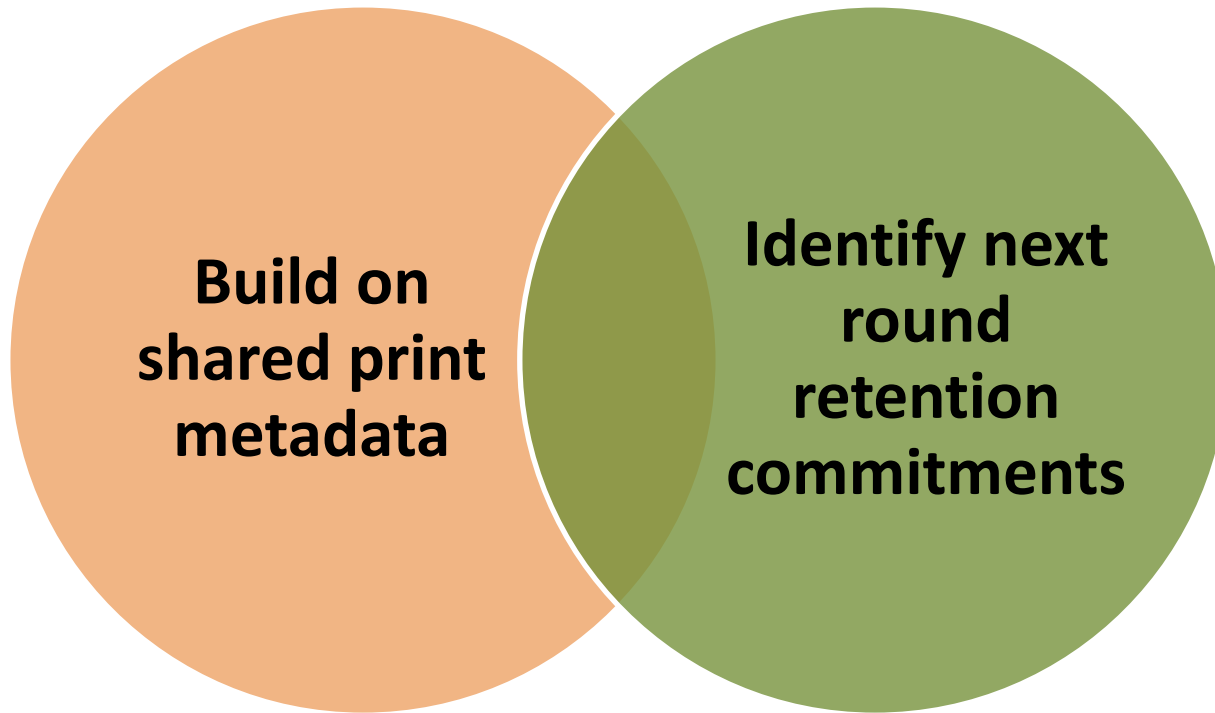
Any questions so far?





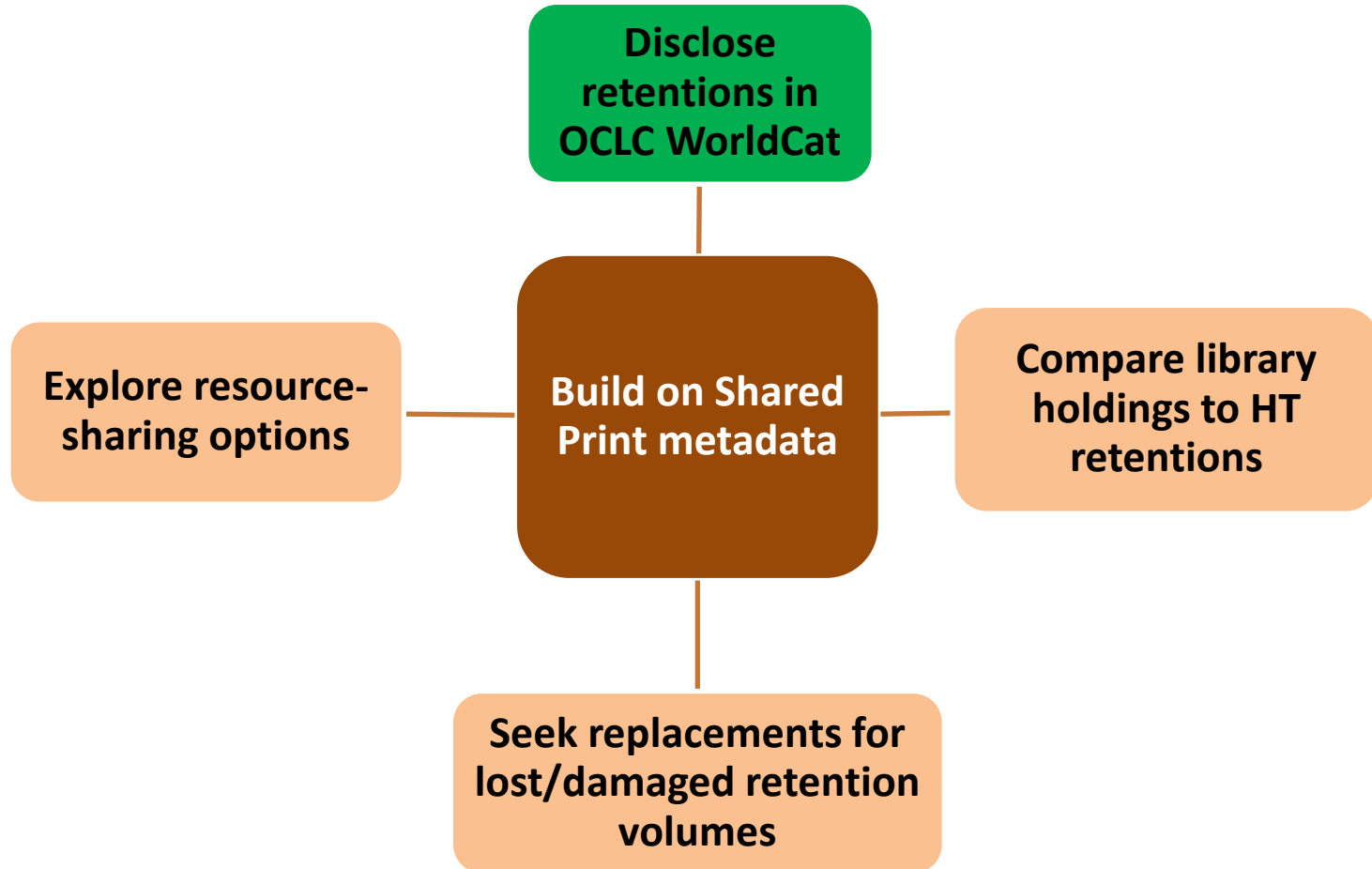
## HathiTrust Shared Print Phase 2 January – December 2018

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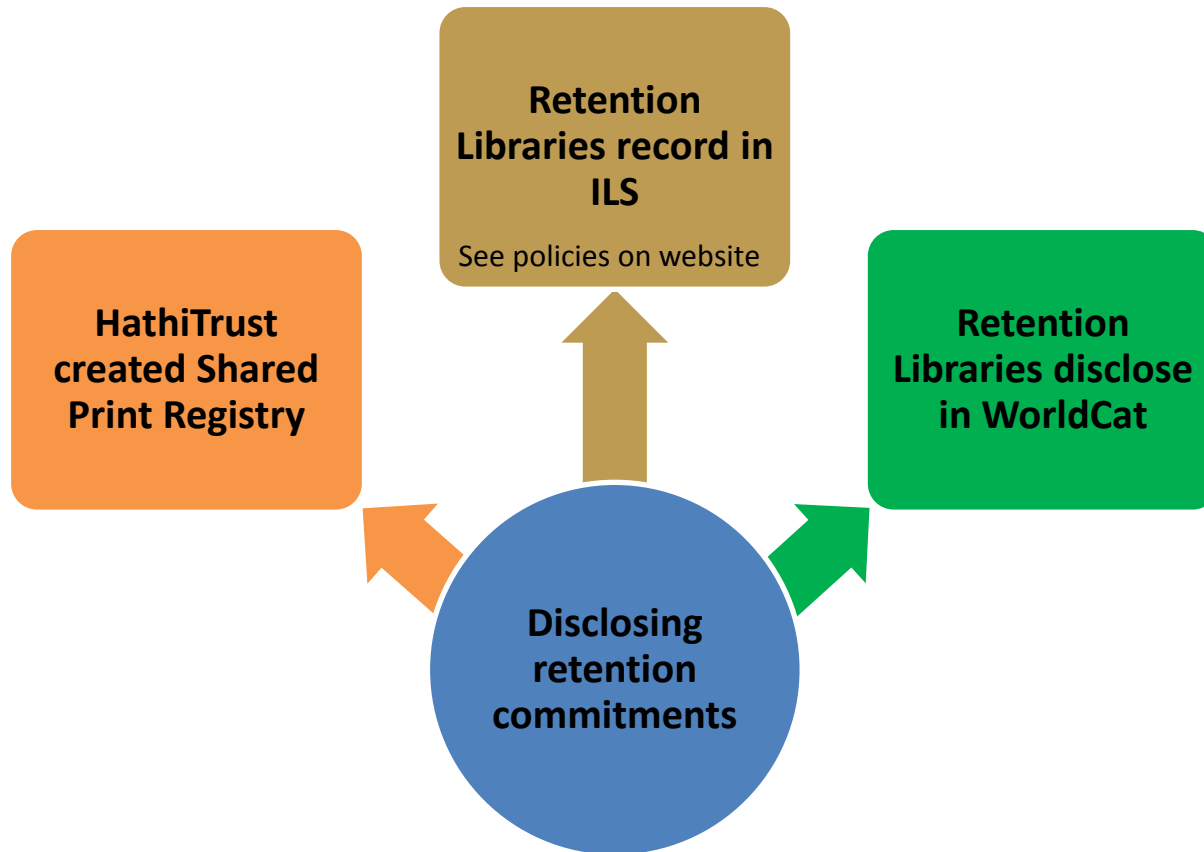
# Build on Shared Print Metadata: System Development to Support Shared Print Functions

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# Three Kinds of HathiTrust Shared Print Disclosure

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## OCLC Shared Print Registration Service being developed

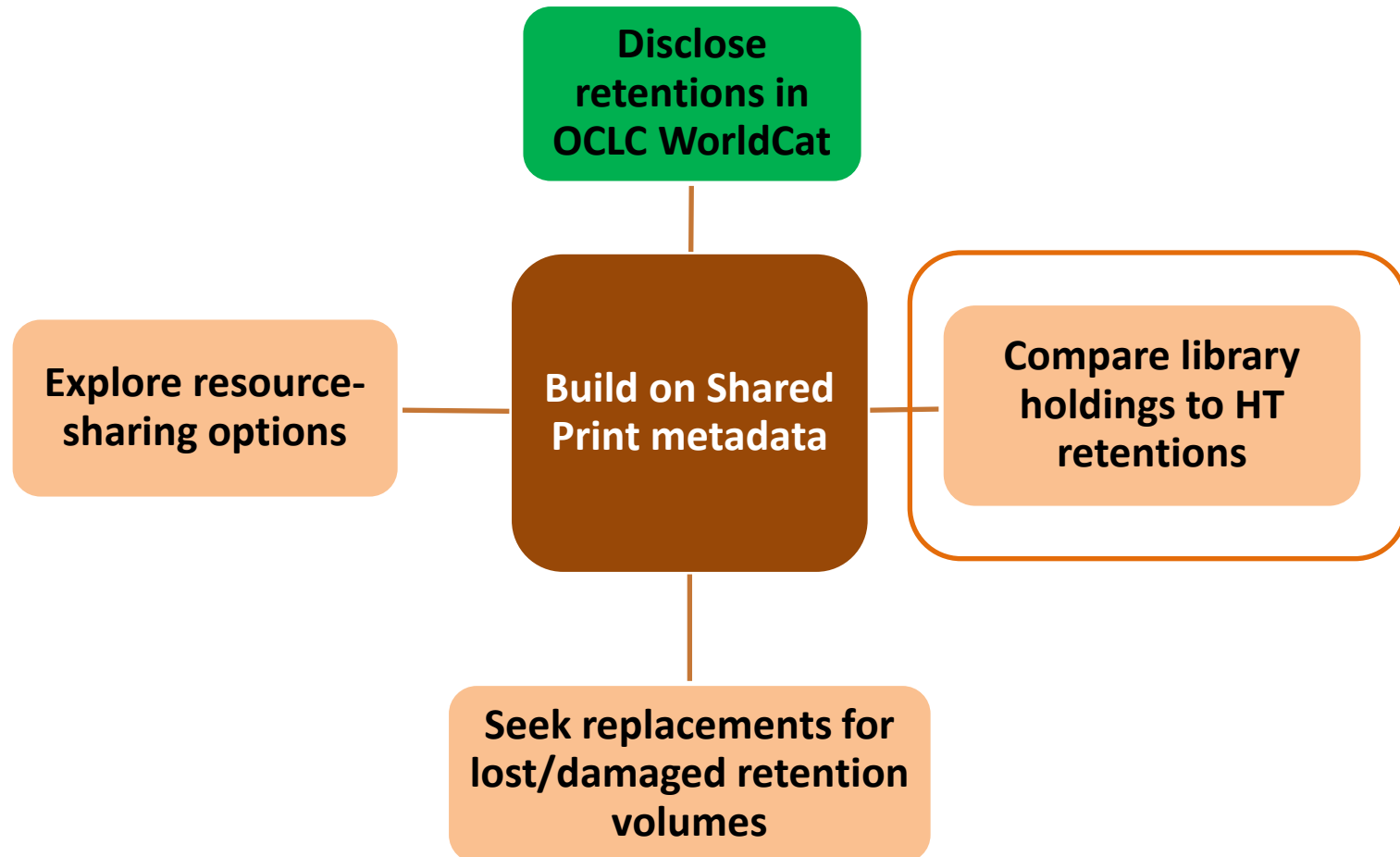
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- Records Shared Print monograph retention commitments in WorldCat using non-MARC data file uploaded by libraries to create LHRs with a 583 Action Note
- Provides MARC holding records for input into local ILS
- Shows shared print commitments in OCLC Record Manager and Connexion (not in public WorldCat.org)
- OCLC projects the service will be available in April 2018
- HathiTrust and OCLC are considering options to facilitate uploading HathiTrust retentions for the new service



# Compare Library Holdings to HT Retentions

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## Compare Library Holdings to HathiTrust Retentions

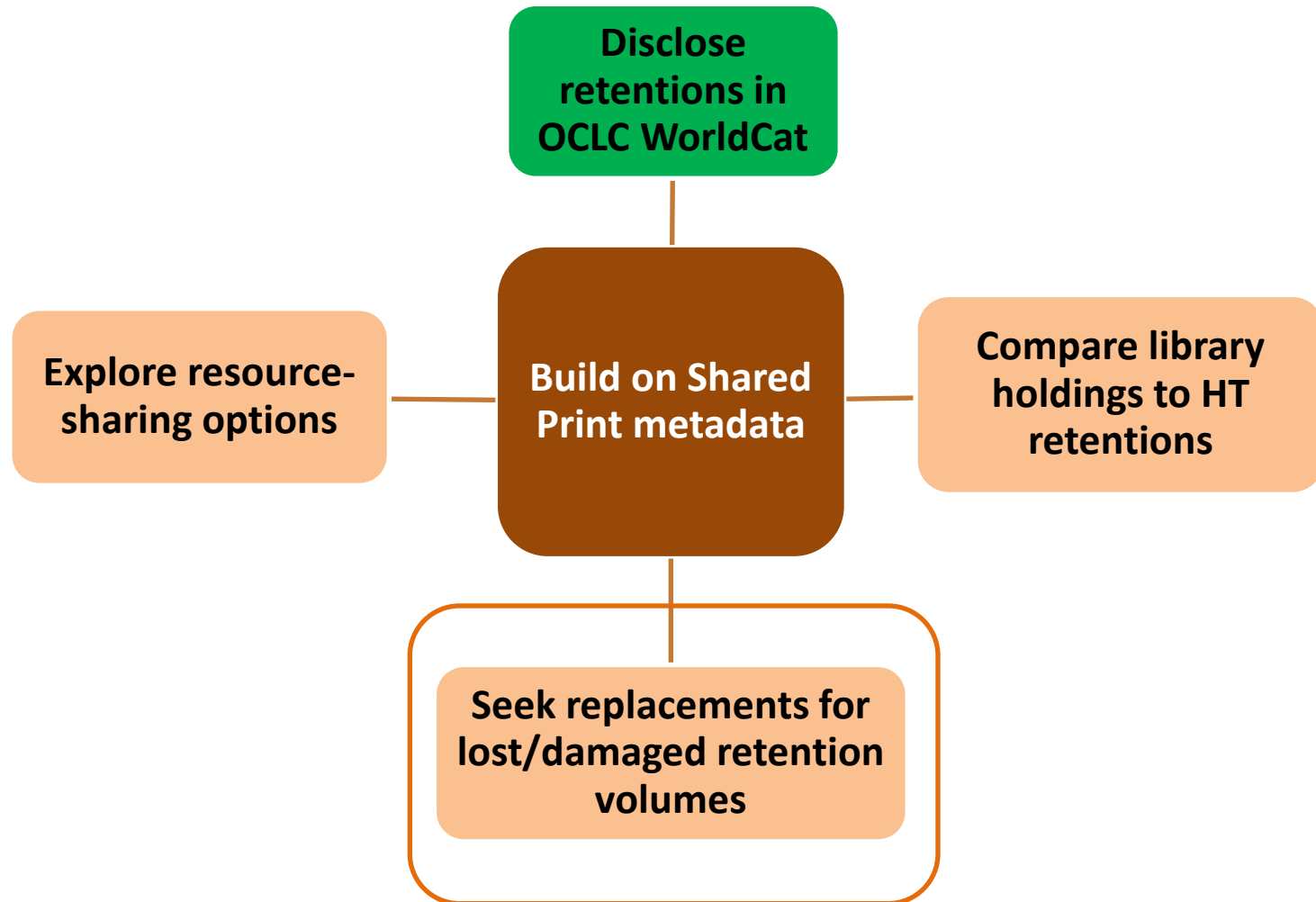
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- Using existing HathiTrust member library print holdings data, HathiTrust can compare library holdings to retention commitments at another HathiTrust library to report:
  - Candidates for deselection (holdings that are retained elsewhere)
  - Candidates for retention and/or digitization (holdings NOT retained elsewhere)
- Perhaps accept candidate file from library for comparison
- Specs and timing to be determined



# Seek Replacements for Lost/Damaged Volumes

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## Seek Replacements for Lost/Damaged Volumes

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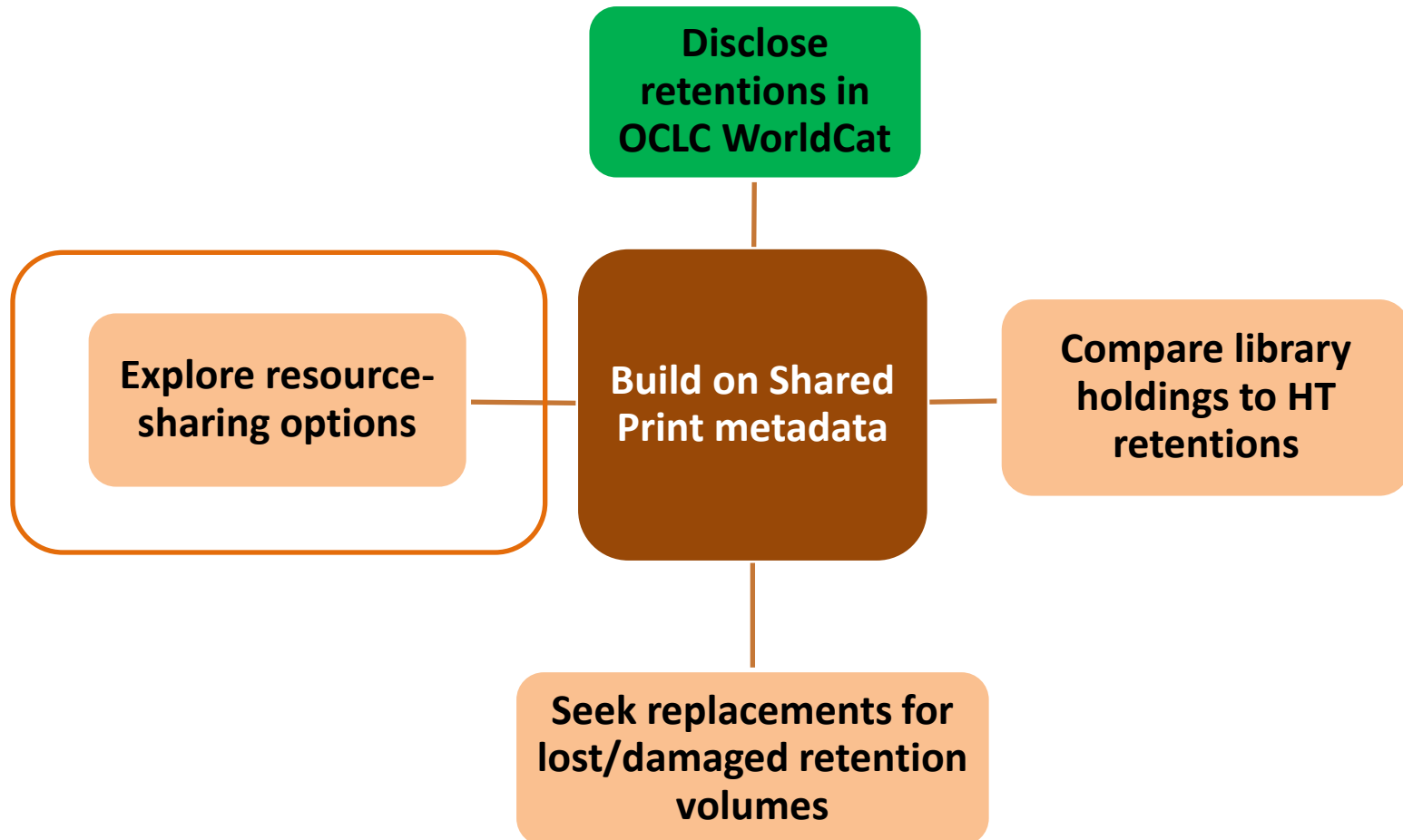
- Step 1: Report retention volumes that are
  - Lost
  - Significantly damaged
  - Committed in error (Special Collections, non-circulating, serials)
  - Duplicates (multiple copies committed accidentally by the same library)
- Step 2: Provide reports to other HathiTrust libraries that hold this title to seek alternative retention commitment (except for duplicates)
- Step 3: Provide capability to ingest and transfer retention commitments when offered





# Explore Resource-Sharing Options

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## Explore Resource-Sharing Options

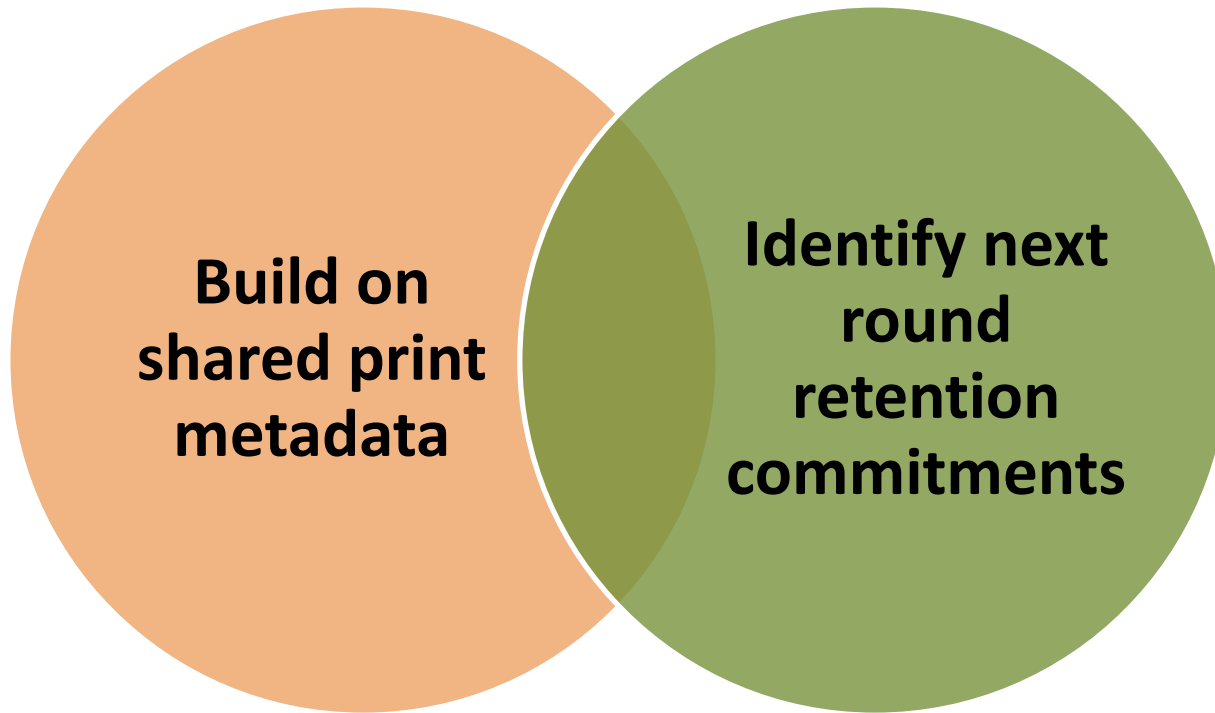
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- Current Access and Resource-Sharing policy says that “libraries may use their own lending policies, procedures, systems, and fees (if any)” for lending via ILL
- Future of HathiTrust Shared Print resource-sharing:
  - Do we want to continue using ILL?
  - Do we want to adopt a separate resource-sharing system?
  - Do we want to develop a capability for searchers to request a volume directly from the HathiTrust catalog?
- Will explore with Shared Print Advisory Committee (SPAC) and Retention Libraries over the next year



## HathiTrust Shared Print Phase 2 January – December 2018

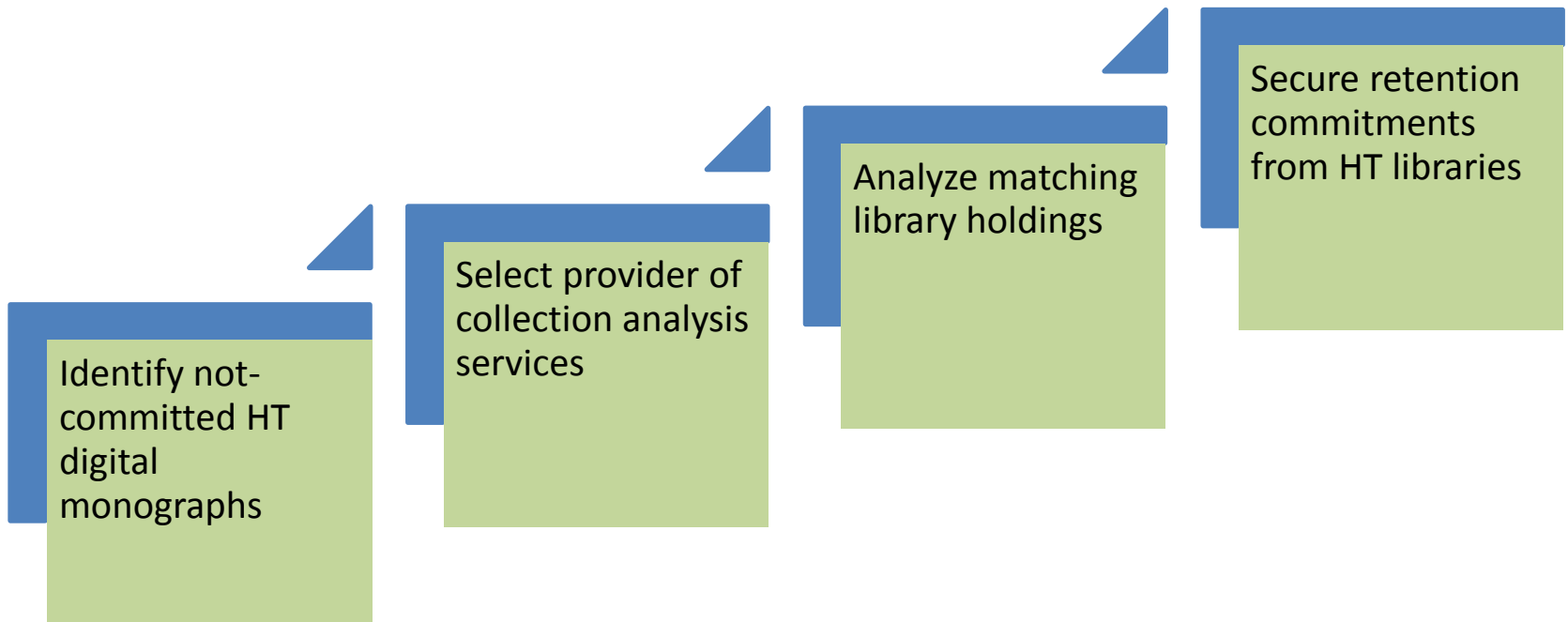
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# Identify Next-Round Retention Commitments

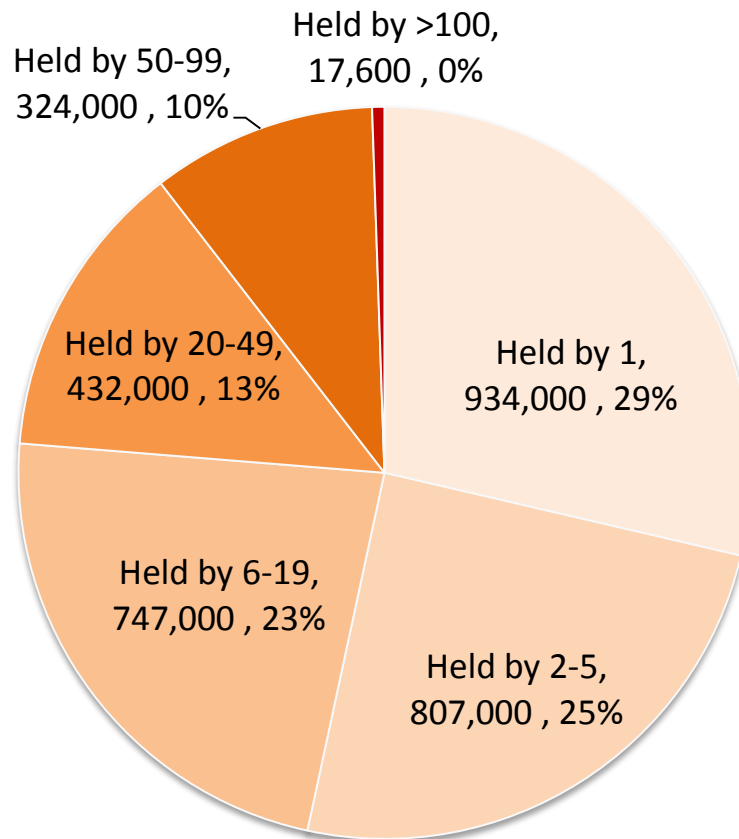
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*Consider overlap, desired number of copies,  
possibly other commitments*



# Not-Committed Monograph Titles in HathiTrust Member Collections that Match HathiTrust

**3.2 million not-committed monograph OCNs (52 million volumes)  
held by 135 HathiTrust libraries**



***Based on in-house analysis  
using HathiTrust print  
holdings data***



## Proposed Timetable to Select Provider and Analyze Collections

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Step	Date
RFP process	February - March
Collect/provide metadata	April - June
Consider related policy changes (e.g. circulation requirement)	April - August
Analyze overlap & other fields	June - August
Proposed retention commitments	September

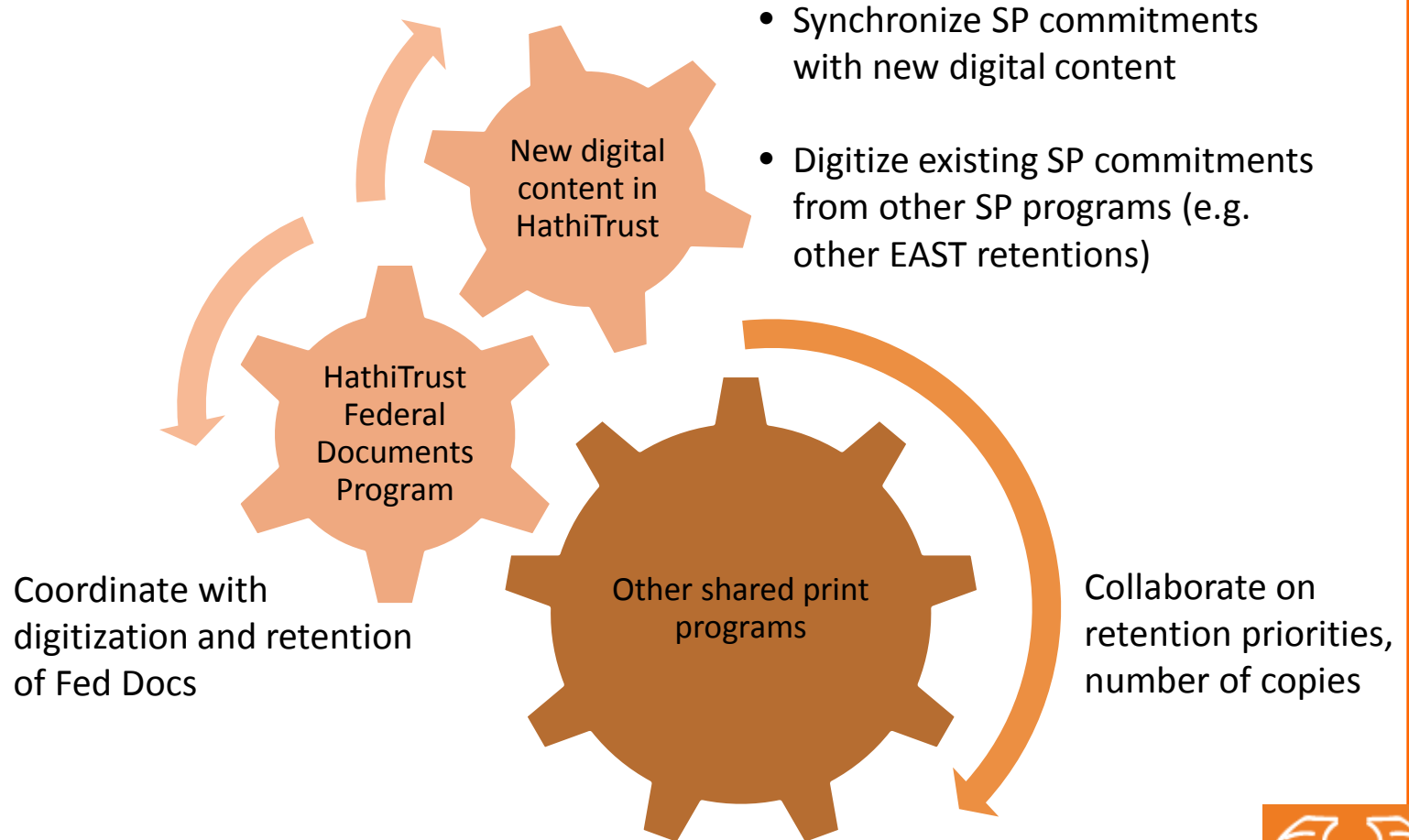


what is  
next?



## Phase 3: Some Possibilities for 2019 and Beyond

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# Questions and Thank you!

