The HathiTrust Shared Print Program: From Planning to Implementation
2016 - 2019

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Background of the HathiTrust Shared Print Program

2011:
- Constitutional Convention “Distributed Print Monographs Archive Initiative” approved

2014:
- HathiTrust Print Monographs Archive Planning Task Force begins work

2015:
- Task Force planning report issued (March) and approved by PSC and Board (June)

2016:
- Shared Print Program Officer hired

Big changes in governance and leadership
Goals of the HathiTrust Shared Print Program

- Link the preservation of HathiTrust digital and corresponding print collections through library retention commitments
- Reduce overall costs of collection management for HathiTrust members
- Catalyze national/continental collective management of collections
Key Attributes of the HathiTrust Shared Print Program

From the final report of the HathiTrust Print Monographs Archive Planning Task Force (March 2015)

• Secure retention commitments for print holdings that mirror book titles in the HathiTrust digital collection

• Maintain a lendable print collection distributed among HathiTrust member collections

• Reflect support by and provide benefits to all HathiTrust members (not a subset)

• Build on existing shared print and resource-sharing arrangements, avoid disturbing members’ other affiliations
Implementation Phases of the HathiTrust Shared Print Program

• Phase 1 (2016-2017): Finalize policies/MOU and build momentum by achieving a critical mass of commitments

• Phase 2 (2018-): Implement tools for collection analysis, collection management, and resource-sharing and plan for growth and potential new services (e.g. digitization)
Phase 1 (2016-2017): Final Planning and Launch

Finalize policies/MOU and secure early voluntary commitments

- All HathiTrust institutions (~140)
  - Retention libraries 25 – 30 or more
- All HathiTrust book titles ~7,400,000
  - Retention titles ~3,700,000

Seek to match 50% of the HathiTrust digital collection in Phase 1
Phase 2 (2018 --): Implement Tools and Plan for Growth and Services

Collection analysis: analyze remaining unarchived holdings to prioritize next steps

- HathiTrust digital corpus
- Shared Print Registry
- OCLC WorldCat
- Resource-Sharing System
- Library catalogs
- Library catalogs
- Library catalogs

Local collection management: support retention proposals and deselection decisions at individual libraries
Phase 1 Steps: Final Planning and Launch

June – December 2016

1. Identify prospective participants
2. Define Phase 1 systems
3. Appoint Shared Print Advisory Committee
4. Recommend policies & MOU
5. Confirm & disclose retentions
6. Implement initial registry
7. HathiTrust SP collection available

January – June 2017

1. Policies & Agreements
2. Retention Commitments
3. Data & Systems
<table>
<thead>
<tr>
<th>Name</th>
<th>Title</th>
<th>Institution</th>
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<tbody>
<tr>
<td>Clement Guthro</td>
<td>Director of Libraries</td>
<td>Colby College</td>
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<td>Jacob Nadal</td>
<td>Executive Director</td>
<td>ReCAP</td>
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<td>Ken Peterson</td>
<td>Director of Access Services &amp; the Harvard Depository</td>
<td>Harvard University</td>
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<tr>
<td>Matthew Revitt</td>
<td>Maine Shared Collection Librarian</td>
<td>University of Maine</td>
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<td>Matthew Sheehy</td>
<td>Interim University Librarian</td>
<td>Brandeis University</td>
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<tr>
<td>Emily Stambaugh</td>
<td>Shared Print Manager</td>
<td>California Digital Library</td>
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<tr>
<td>Karla Strieb</td>
<td>Associate Director for Collections, Technical Services, and Scholarly Communication</td>
<td>Ohio State University</td>
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<tr>
<td>Thomas Teper</td>
<td>Associate University Librarian for Collections</td>
<td>University of Illinois, Urbana-Champaign</td>
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<tr>
<td>Ben Walker</td>
<td>Assistant Dean, Digital Services and Shared Collections</td>
<td>University of Florida</td>
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<tr>
<td>Lizanne Payne</td>
<td>Shared Print Program Officer</td>
<td>HathiTrust</td>
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From the final report of the HathiTrust Print Monographs Archive Planning Task Force (March 2015). Please note that these policies will be reviewed and may be confirmed or changed during Phase 1.

- **Scope:** Monographs from circulating general collections that correspond to items in HathiTrust Digital Library
- **Ownership:** Original owner retains ownership
- **Retention Period:** 25 years
- **Environment:** Storage facility preferred, campus shelving acceptable
- **Validation:** Holdings verification and condition verification are not required
- **Loss/Damage:** Libraries are expected to seek replacements for lost/damaged volumes
- **Disclosure:** Libraries are expected to disclose retention commitments in HathiTrust, WorldCat, and local catalogs
- **Access:** Libraries agree to lend retained volumes to other HathiTrust members

*Many related details about these policies will be worked out during Phase 1*
Current Business Model Recommendations

From the final report of the HathiTrust Print Monographs Archive Planning Task Force (March 2015). Please note that these recommendations will be reviewed and may be confirmed or changed during Phase 1.

The currently-proposed business model does not provide compensation to libraries for retaining volumes for HathiTrust. However, this recommendation, like others, will be discussed and may change during Phase 1 final planning.
Invite Phase 1 Participants: The Final Planning Stage

HathiTrust seeks member libraries to participate in Phase 1. The Phase 1 libraries will have the following roles and responsibilities:

• Serve as planning partners (in conjunction with the HathiTrust Shared Print Advisory Committee) to review the policies, business model and MOU that will govern the HathiTrust Shared Print Program
  – *This is an opportunity to influence the program*

• Work with HathiTrust to identify the library’s proposed retentions

The Phase 1 libraries are *not* expected to make any binding retention commitments until they have had a chance to review and agree to the final policies and MOU (expected spring/summer 2017).
Identifying Proposed Retentions in Phase 1

HathiTrust already collects print holdings data from member libraries and determines overlap with the digital corpus, to calculate member fees. We currently plan the following process for Phase 1 (beginning around February 2017):

- We will use print holdings data already provided in 2016
- We may ask for some additional data such as shelving location (to identify storage facilities) and existing shared print commitments
- We will provide libraries with a file of all matching print monographs with associated data
- Libraries will review and identify the preferred subset of the library’s matching holdings that the library will agree to retain

Participating libraries are not expected to commit all of their matching holdings, just a reasonable subset (to be determined using the library’s own criteria).
Considerations for Libraries in Other Shared Print Programs

• Libraries that have already made monograph retention commitments (e.g. EAST, VIVA, Florida, UC, others) could commit those same matching holdings also to HathiTrust

• Other shared print programs (including EAST) typically do not consider presence in HathiTrust one way or the other; e.g. their retained holdings may or may not be represented in HathiTrust

• HathiTrust’s primary goal is to preserve print monographs that correspond to items in the HathiTrust Digital Library. We are not looking for retentions within the full collections of member libraries
Cost to Participate Based on Proposed Business Model

• There is no separate fee to participate in the HathiTrust Shared Print Program

• Libraries that retain volumes under the Shared Print Program likely will incur some expenses that the library is expected to absorb, such as for staff time

• Central costs of the Shared Print Program (e.g. program staff, systems) will be added to the overall HathiTrust budget and shared among all HathiTrust members according to an approved member fee calculation

• Phase 1 (2017): No fee increase is expected that would result specifically from the Shared Print Program

• Phase 2 (2018 onward): There may be additional Shared Print Program costs (especially for systems) that may result in increased member fees. There is no specific cost model available yet, and any member fee increases are subject to review and approval by both the Board and the membership
To Join the HathiTrust Shared Print Program (Phase 1)

Please notify the Shared Print Program Officer Lizanne Payne by email to: elpayne@hathitrust.org

and include the name and email address of the person who will serve as the primary contact for this shared print initiative

We hope to have participants lined up by the end of September or soon after.

More background information:
• Shared Print Program website: https://www.hathitrust.org/print_monograph_archiving
Thank You!