



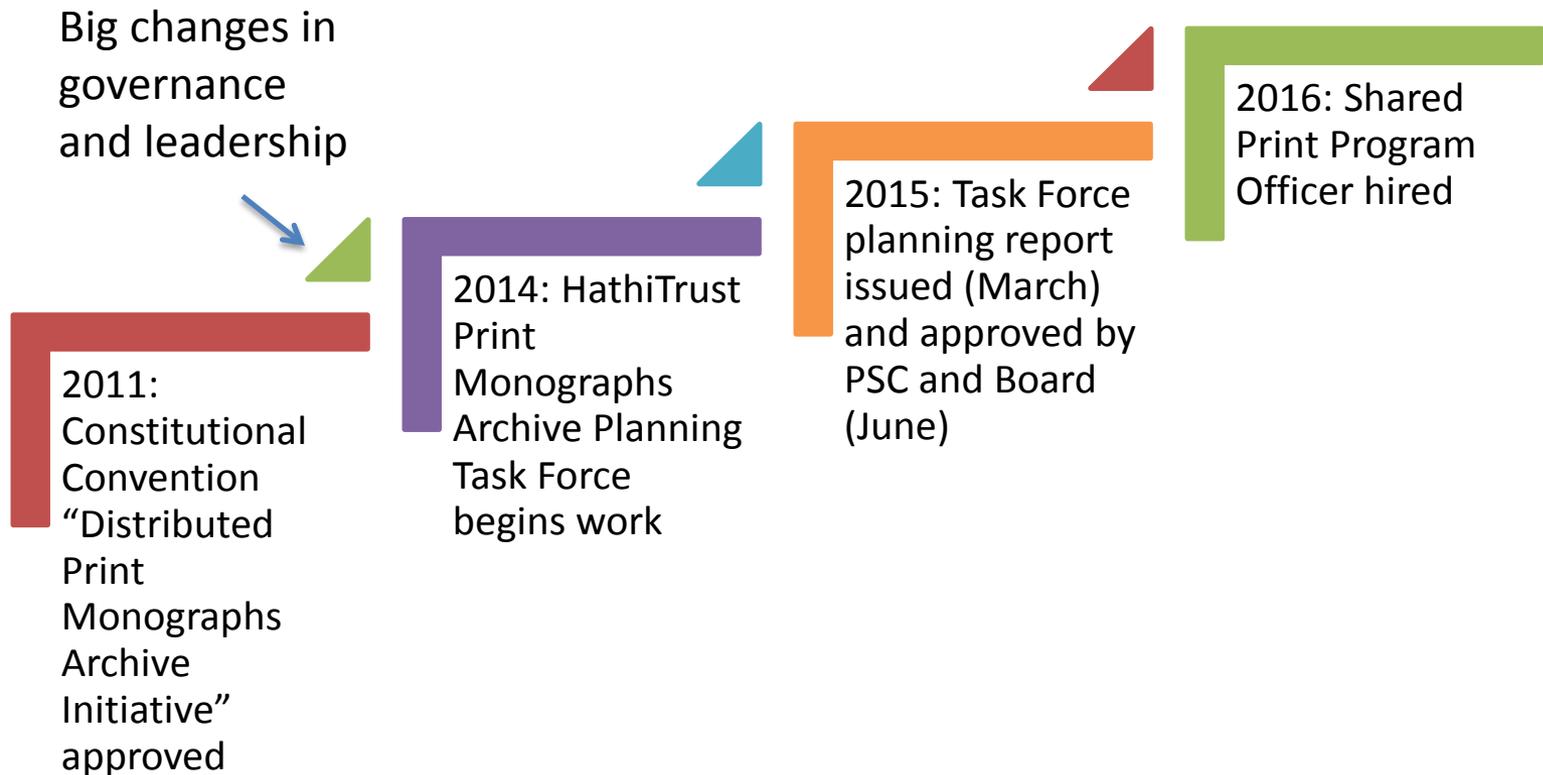
HATHITRUST

DIGITAL LIBRARY

The HathiTrust Shared Print Program: From Planning to Implementation 2016 - 2019

Lizanne Payne
Shared Print Program Officer
elpayne@hathitrust.org

Background of the HathiTrust Shared Print Program



Goals of the HathiTrust Shared Print Program

- Link the preservation of HathiTrust digital and corresponding print collections through library retention commitments
- Reduce overall costs of collection management for HathiTrust members
- Catalyze national/continental collective management of collections



Key Attributes of the HathiTrust Shared Print Program

From the final report of the HathiTrust Print Monographs Archive Planning Task Force (March 2015)

- Secure retention commitments for print holdings that mirror book titles in the HathiTrust digital collection
- Maintain a lendable print collection distributed among HathiTrust member collections
- Reflect support by and provide benefits to all HathiTrust members (not a subset)
- Build on existing shared print and resource-sharing arrangements, avoid disturbing members' other affiliations



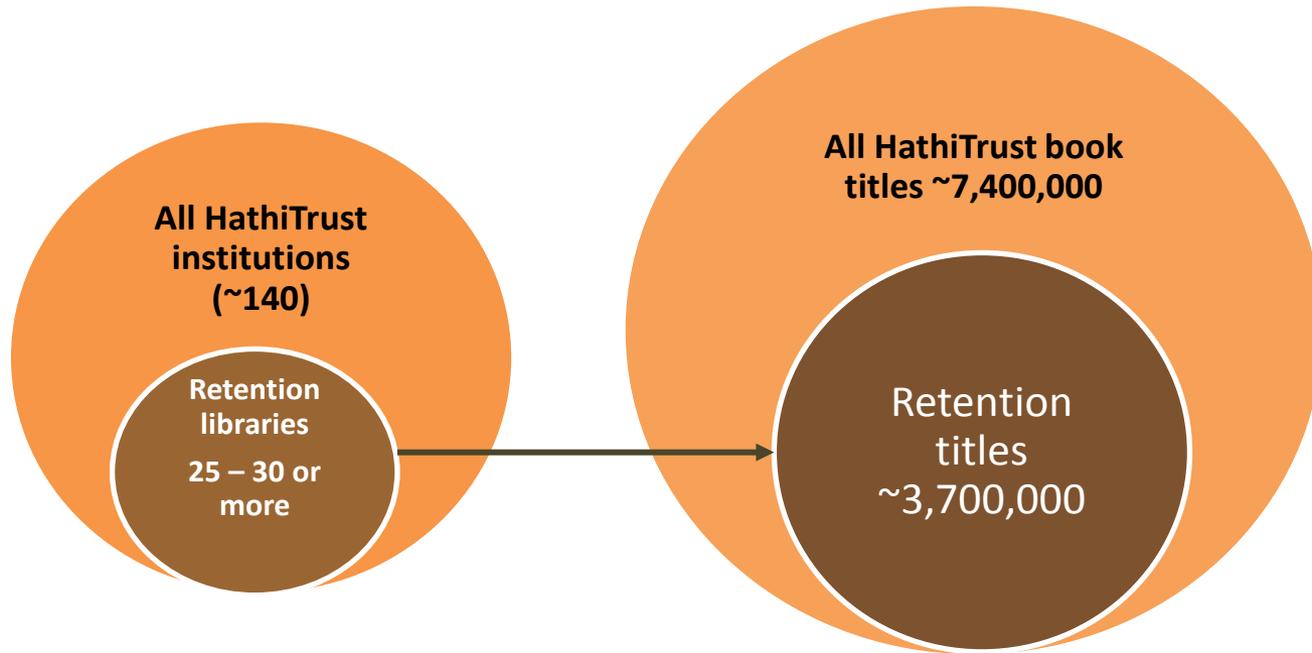
Implementation Phases of the HathiTrust Shared Print Program

- Phase 1 (2016-2017): Finalize policies/MOU and build momentum by achieving a critical mass of commitments
- Phase 2 (2018-): Implement tools for collection analysis, collection management, and resource-sharing and plan for growth and potential new services (e.g. digitization)



Phase 1 (2016-2017): Final Planning and Launch

Finalize policies/MOU and secure early voluntary commitments



Seek to match 50% of the HathiTrust digital collection in Phase 1



Phase 2 (2018 --): Implement Tools and Plan for Growth and Services

Collection analysis: analyze remaining unarchived holdings to prioritize next steps

OCLC WorldCat

HathiTrust digital corpus

Shared Print Registry

Local collection management: support retention proposals and deselection decisions at individual libraries

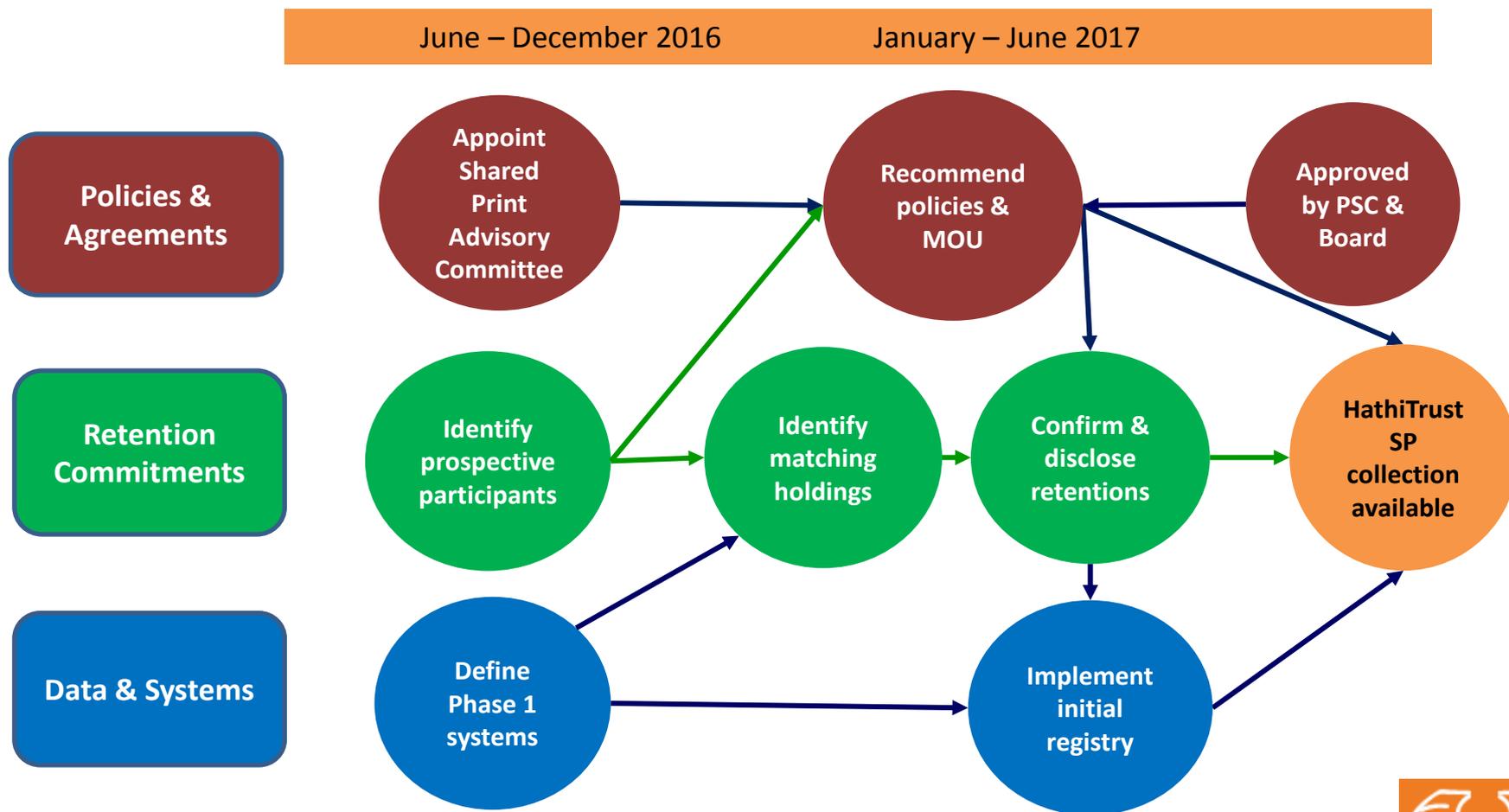
Resource-Sharing System

Library catalogs

Library catalogs

Library catalogs

Phase 1 Steps: Final Planning and Launch



HathiTrust Shared Print Advisory Committee

Clement Guthro
Director of Libraries
Colby College

Jacob Nadal
Executive Director
ReCAP

Ken Peterson
Director of Access Services & the Harvard Depository
Harvard University

Matthew Revitt
Maine Shared Collection Librarian
University of Maine

Matthew Sheehy
Interim University Librarian
Brandeis University

Emily Stambaugh
Shared Print Manager
California Digital Library

Karla Strieb
Associate Director for Collections, Technical
Services, and Scholarly Communication
Ohio State University

Thomas Teper
Associate University Librarian for Collections
University of Illinois, Urbana-Champaign

Ben Walker
Assistant Dean, Digital Services and Shared
Collections
University of Florida

Lizanne Payne
Shared Print Program Officer
HathiTrust



Current Major Policy Recommendations

*From the final report of the HathiTrust Print Monographs Archive Planning Task Force (March 2015).
Please note that these policies will be reviewed and may be confirmed or changed during Phase 1.*

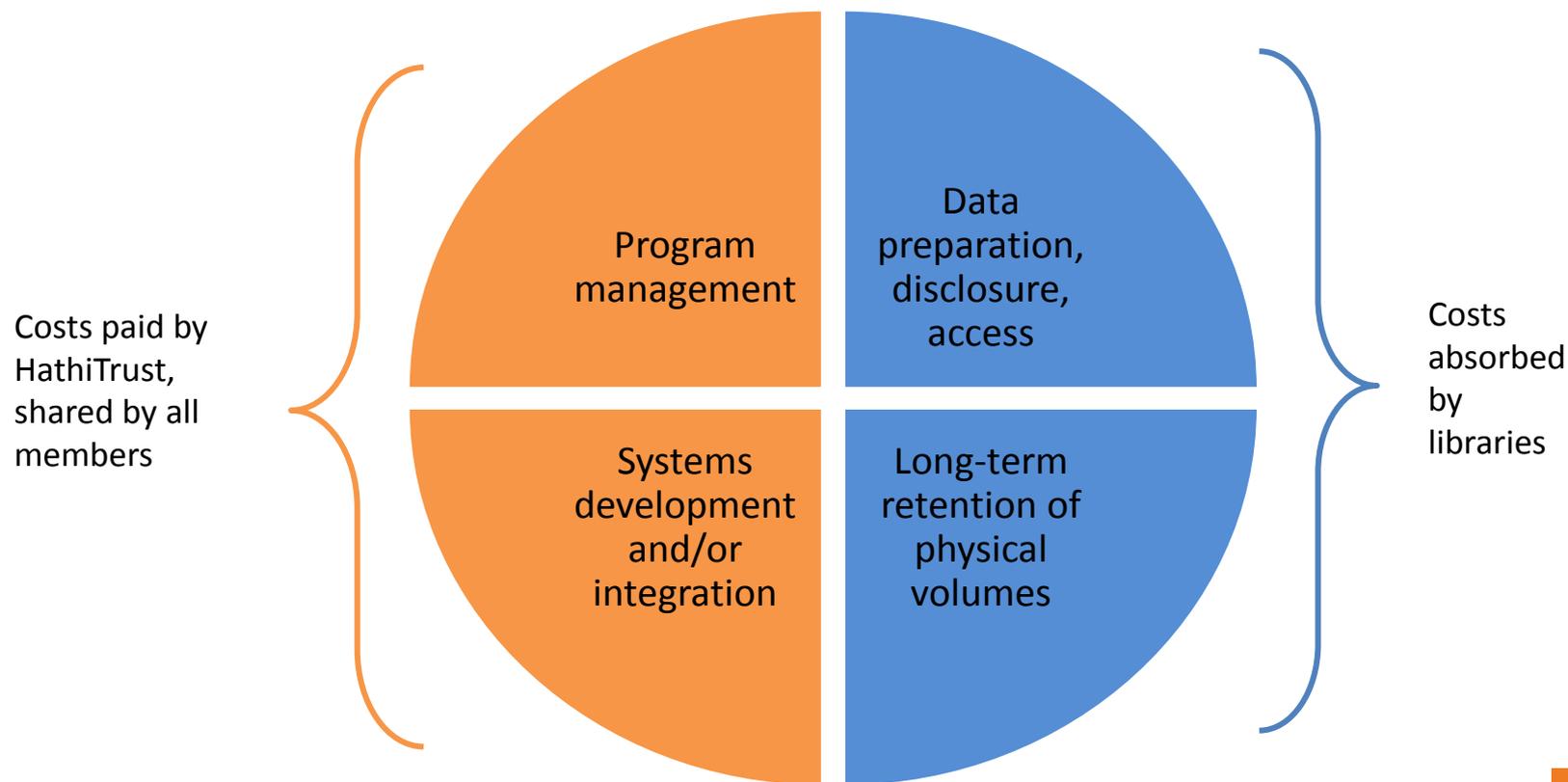
- Scope: Monographs from circulating general collections that correspond to items in HathiTrust Digital Library
- Ownership: Original owner retains ownership
- Retention Period: 25 years
- Environment: Storage facility preferred, campus shelving acceptable
- Validation: Holdings verification and condition verification are not required
- Loss/Damage: Libraries are expected to seek replacements for lost/damaged volumes
- Disclosure: Libraries are expected to disclose retention commitments in HathiTrust, WorldCat, and local catalogs
- Access: Libraries agree to lend retained volumes to other HathiTrust members

Many related details about these policies will be worked out during Phase 1



Current Business Model Recommendations

From the final report of the HathiTrust Print Monographs Archive Planning Task Force (March 2015). Please note that these recommendations will be reviewed and may be confirmed or changed during Phase 1.



The currently-proposed business model does not provide compensation to libraries for retaining volumes for HathiTrust. However, this recommendation, like others, will be discussed and may change during Phase 1 final planning.



Invite Phase 1 Participants: The Final Planning Stage

HathiTrust seeks member libraries to participate in Phase 1. The Phase 1 libraries will have the following roles and responsibilities:

- Serve as planning partners (in conjunction with the HathiTrust Shared Print Advisory Committee) to review the policies, business model and MOU that will govern the HathiTrust Shared Print Program
 - *This is an opportunity to influence the program*
- Work with HathiTrust to identify the library's proposed retentions

The Phase 1 libraries are not expected to make any binding retention commitments until they have had a chance to review and agree to the final policies and MOU (expected spring/summer 2017).



Identifying Proposed Retentions in Phase 1

HathiTrust already collects print holdings data from member libraries and determines overlap with the digital corpus, to calculate member fees. We currently plan the following process for Phase 1 (beginning around February 2017):

- We will use print holdings data already provided in 2016
- We may ask for some additional data such as shelving location (to identify storage facilities) and existing shared print commitments
- We will provide libraries with a file of all matching print monographs with associated data
- Libraries will review and identify the preferred subset of the library's matching holdings that the library will agree to retain

Participating libraries are not expected to commit all of their matching holdings, just a reasonable subset (to be determined using the library's own criteria).



Considerations for Libraries in Other Shared Print Programs

- Libraries that have already made monograph retention commitments (e.g. EAST, VIVA, Florida, UC, others) could commit those same matching holdings also to HathiTrust
- Other shared print programs (including EAST) typically do not consider presence in HathiTrust one way or the other; e.g. their retained holdings may or may not be represented in HathiTrust
- HathiTrust's primary goal is to preserve print monographs that correspond to items in the HathiTrust Digital Library. We are not looking for retentions within the full collections of member libraries



Cost to Participate

Based on Proposed Business Model

- There is no separate fee to participate in the HathiTrust Shared Print Program
- Libraries that retain volumes under the Shared Print Program likely will incur some expenses that the library is expected to absorb, such as for staff time
- Central costs of the Shared Print Program (e.g. program staff, systems) will be added to the overall HathiTrust budget and shared among all HathiTrust members according to an approved member fee calculation
- Phase 1 (2017): No fee increase is expected that would result specifically from the Shared Print Program
- Phase 2 (2018 onward): There may be additional Shared Print Program costs (especially for systems) that may result in increased member fees. There is no specific cost model available yet, and any member fee increases are subject to review and approval by both the Board and the membership



To Join the HathiTrust Shared Print Program (Phase 1)

Please notify the Shared Print Program Officer Lizanne Payne by email to:
elpayne@hathitrust.org

and include the name and email address of the person who will serve as the primary contact for this shared print initiative

We hope to have participants lined up by the end of September or soon after.

More background information:

- Task Force planning report (2015): <https://www.hathitrust.org/files/sharedprintreport.pdf>
- Shared Print Program website: https://www.hathitrust.org/print_monograph_archiving



Thank You!

